

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 01D0306184	(X3) Date Survey Completed 03/08/2023
Name of Provider or Supplier Whitfield Regional Hospital	Street Address, City, State 105 Highway 80 East, Demopolis, AL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5221	<p>EVALUATION OF PROFICIENCY TESTING PERFORMANCE CFR(s): 493.1236(d)</p> <p>All proficiency testing evaluation and verification activities must be documented.</p> <p>This STANDARD is not met as evidenced by: Based on a review of API (American Proficiency Institute) Proficiency Testing (PT) records and an interview with Respiratory Therapist #1, the laboratory failed to document the review and corrective action for unsatisfactory scores on one out of six Arterial Blood Gas PT survey events reviewed from June 2021 to the date of the current survey (03/08/2023). The findings include: 1. A review of the 2022 Chemistry Event #2 Proficiency Testing records revealed the following failing scores: a) Partial Pressure of Carbon Dioxide (pCO₂), Partial Pressure of Oxygen (pO₂) - each 60% b) Methemoglobin - 40% c) Carboxyhemoglobin, Hemoglobin, Oxyhemoglobin - each 20% 2. Further review of the PT records revealed the laboratory failed to perform and document corrective actions implemented following these failures. 3. During an interview on 3/8/2023 at 4:00 PM, Respiratory Therapist #1 explained the failures and lack of follow up occurred under the previous leadership.</p>
D6045	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(7)</p> <p>(b) The technical consultant is responsible for-- (b)(7) Identifying training needs and assuring that each individual performing tests receives regular in-service training and education appropriate for the type and complexity of the laboratory services performed;</p> <p>This STANDARD is not met as evidenced by: Based on a review of Testing Personnel records and an interview with Respiratory</p>

Therapist #1, the Technical Consultant failed to ensure Testing Personnel received appropriate training for the ABL 90 Arterial Blood Gas Radiometer analyzer. This was noted for seven out of thirteen Respiratory Therapists listed on the Form CMS-209 (Laboratory Personnel Report). The findings include: 1. A review of Testing Personnel records revealed no documentation of initial training for the ABL 90 Radiometer for Respiratory Therapist #1, #4, #8, #9, #11, #12, and #13. A form that was intended to assess annual competency was the only "training" on file. 2. During an interview on 3/8/2023 at 4:00 PM, Respiratory Therapist #1 confirmed the annual competency form was used as initial training for new Testing Personnel.

D6046

TECHNICAL CONSULTANT RESPONSIBILITIES
CFR(s): 493.1413(b)(8)

(b) The technical consultant is responsible for-- (b)(8) Evaluating the competency of all testing personnel and assuring that the staff maintain their competency to perform test procedures and report test results promptly, accurately and proficiently.

This STANDARD is not met as evidenced by:
Based on a review of Testing Personnel records and an interview with Respiratory Therapist #1, the Technical Consultant failed to implement and document evaluations that included the six minimal regulatory requirements for assessment of competency for the ABL 90 Blood Gas Analyzer. This was noted for 12 out of 12 Respiratory Therapists listed on the Form CMS-209 (Laboratory Personnel Report). The findings include: 1. A review of Testing Personnel records revealed forms used for Competency Assessment entitled "Age Specific Competency Evaluation". The form failed to include the six minimal regulatory requirements for assessment of competency, as follows: a) Direct observation of routine patient testing b) Monitoring the recording and reporting of patient test results c) Review of intermediate test results or worksheets, quality control records, proficiency testing results, and preventive maintenance records d) Direct observations of performance of instrument maintenance and function checks e) Assessment of test performance through testing previously analyzed specimens, internal blind testing samples or external proficiency testing samples f) Assessment of problem solving skills 2. During an interview on 3/8 /2023 at 1:00 PM, Respiratory Therapist #1 confirmed the above findings.