

<p>Statement of Deficiencies</p>	<p>(X1) Provider/Supplier/CLIA Identification Number</p> <p>01D0681275</p>	<p>(X3) Date Survey Completed</p> <p>02/04/2026</p>
<p>Name of Provider or Supplier</p> <p>Gordon T Connor Md</p>	<p>Street Address, City, State</p> <p>2018 Brookwood Med Ctr Dr Pob Suite 209, Birmingham, AL</p>	
<p>For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.</p>		

<p>(X4) ID Prefix Tag</p>	<p>Summary Statement of Deficiencies</p>
<p>D2009</p>	<p>TESTING OF PROFICIENCY TESTING SAMPLES CFR(s): 493.801(b)(1)</p> <p>(b)(1) The individual testing or examining the samples and the laboratory director must attest to the routine integration of the samples into the patient workload using the laboratory's routine methods.</p> <p>This STANDARD is not met as evidenced by: Based on a review of the American Association of Bioanalysts-Medical Laboratory Evaluation (AAB-MLE) Proficiency Testing (PT) records and an interview with the Laboratory Manager (LM) who is also the Testing Personnel (TP), the laboratory failed to ensure the Laboratory Director (or designee) signed the proficiency testing attestation statements. This was noted for six of the six PT events reviewed in 2024-2025. The findings include: 1. A review of the AAB-MLE PT records revealed the Laboratory Director (or designee) failed to sign the attestation statements for the following PT events: a) 2024 M1-M3 Events (Urine Sediment) b) 2025 M1-M3 Events (Urine Sediment) 2. The LM/TP confirmed the above findings during exit conference on 02-04-2026 at 11:13 PM.</p>
<p>D5211</p>	<p>EVALUATION OF PROFICIENCY TESTING PERFORMANCE CFR(s): 493.1236(a)</p> <p>The laboratory must review and evaluate the results obtained on proficiency testing performed as specified in subpart H of this part.</p> <p>This STANDARD is not met as evidenced by: Based on a review of the American Association of Bioanalysts-Medical Laboratory Evaluation (AAB-MLE) Proficiency Testing (PT) records and an interview with the</p>

	<p>Laboratory Manager (LM) who is also the Testing Personnel (TP), the laboratory failed to document review and evaluation of PT performance. This was noted for six of the six 2024-2025 PT events reviewed. The findings include: 1. A review of the AAB-MLE PT records revealed no documentation of review on the graded evaluations by the Laboratory Director, or designee, for the following events: a) 2024 M1-M3 Events (Urine Sediment) b) 2025 M1-M3 Events (Urine Sediment) 2. The LM /TP confirmed the above findings during exit conference on 02-04-2026 at 11:13 PM.</p>
D5433	<p>MAINTENANCE AND FUNCTION CHECKS CFR(s): 493.1254(b)(1)</p> <p>(b)(1)(i) Establish a maintenance protocol that ensures equipment, instrument, and test system performance that is necessary for accurate and reliable test results and test result reporting. (b)(1)(ii) Perform and document the maintenance activities specified in paragraph b(1)(i) of this section.</p> <p>This STANDARD is not met as evidenced by: Based on review of the centrifuge maintenance records and an interview with the Laboratory Manager (LM) who is also the Testing Personnel (TP), the laboratory failed to ensure centrifuge maintenance was performed and documented annually. This was noted from the last 2023 survey to the current 2026 survey. This is a repeat deficiency. The Findings include: 1. A review of the maintenance records revealed centrifuge maintenance was performed and documented 10-11-2023 after the facility was cited for non-compliance during the last survey on 10-10-2023. However, at the time of the current survey on 02-04-2026, no documentation of the 2024 and/or 2025 maintenance was available for review. 2. The LM/TP confirmed the above findings during exit conference on 02-04-2026 at 11:13 PM.</p>
D6032	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(14)</p> <p>(e)(14) Specify, in writing, the responsibilities and duties of each consultant and each person, engaged in the performance of the preanalytic, analytic, and postanalytic phases of testing, that identifies which examinations and procedures each individual is authorized to perform, whether supervision is required for specimen processing, test performance or results reporting, and whether consultant or director review is required prior to reporting patient test results.</p> <p>This STANDARD is not met as evidenced by: Based on a review of the Personnel records and an interview with the Laboratory Manager (LM) who is also the Testing Personnel (TP), the laboratory failed to ensure the Laboratory Director specified in writing the duties and responsibilities of all personnel listed on the CMS 209 (Laboratory Personnel Report). This was noted for one out of one employee from the date of the last survey (10-10-2023), through the current survey (02-04-2026). This is a repeat deficiency. The Findings include: 1. A review of the Personnel records revealed no evidence of job description for the Testing Personnel, Technical Consultant and Clinical Consultant. 2. A further review of the Personnel records revealed the only job description available for review was for the Laboratory Director. 3. LM/TP confirmed the above findings during exit conference on 02-04-2026 at 11:13 PM.</p>

D6054

TECHNICAL CONSULTANT RESPONSIBILITIES

CFR(s): 493.1413(b)(9)

(b)(9) Thereafter, evaluations must be performed at least annually

This STANDARD is not met as evidenced by:

Based on a review of personnel records and an interview with the Laboratory Manager (LM) who is also the Testing Personnel (TP), the Laboratory Director (LD), who is also the Technical Consultant (TC), failed to assess and document the annual competency assessment of TP responsible for the Urine sediment examination. This was noted for one out of one employee listed on the CMS-209 Form (Laboratory Personnel Report). The findings include: 1. A review of personnel records for TP listed on the CMS-209 Form (Laboratory Personnel Report) who performed urine sediment examinations revealed the LD/TC failed to document the annual competency assessments for the TP due in January 2026. 2. The LM/TP confirmed the above findings during exit conference on 02-04-2026 at 11:13 PM.