

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 01D0692409	(X3) Date Survey Completed 08/29/2019
Name of Provider or Supplier Southwest Alabama Health Services	Street Address, City, State 7777 Highway 43 North, McIntosh, AL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D6017	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(4)(ii)</p> <p>The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(4)(ii) Ensure that results are returned within the timeframes established by the proficiency testing program.</p> <p>This STANDARD is not met as evidenced by: Based on a review of the API (American Proficiency Institute) proficiency testing records, a review of the CMS CASPER Report 0096D (CLIA Application and Survey Summary), and an interview with the Technical Consultant, the surveyor determined the Laboratory Director failed to ensure testing personnel performed and submitted results for one of three 2017 Hematology surveys. The findings include: 1. A review of the CMS CASPER Report 0096D (CLIA Application and Survey Summary) revealed no results for the 2017 Event #3 Hematology survey. The report indicated CMS received no scores from the proficiency testing provider (API). 2. A review of the 2017 Event #3 API (American Proficiency Institute) proficiency testing records revealed the laboratory performed the surveys for Chemistry and waived testing, however there was no record of the Event #3 Hematology survey results. 3. During an interview on 8/29/2019 at 9:40 AM, the Technical Consultant explained he had noticed the survey was missing when he reviewed the records, and asked the Laboratory Supervisor (Testing Personnel #1 on Form CMS-209). The Technical Consultant stated they were unable to find any documentation the survey was performed, thus no results were submitted to API or CMS. SURVEYOR ID# 32558 Licensure and Certification Surveyor</p>