

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  01D2104515	<b>(X3) Date Survey Completed</b>  04/26/2022
<b>Name of Provider or Supplier</b>  Dba Alabama Oncology	<b>Street Address, City, State</b>  3670 Grandview Pkwy Suite 200, Birmingham, AL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D6128</b>	<p><b>TECHNICAL SUPERVISOR RESPONSIBILITIES</b> CFR(s): 493.1451(b)(9)</p> <p>The technical supervisor is responsible for evaluating and documenting the performance of individuals responsible for high complexity testing at least annually after the first year, unless test methodology or instrumentation changes, in which case, prior to reporting patient test results, the individual's performance must be reevaluated to include the use of the new test methodology or instrumentation.</p> <p>This STANDARD is not met as evidenced by: Based on a review of personnel records and an interview with Testing Personnel (TP) #10, the Technical Supervisor failed to evaluate and document the performance of high complexity testing in the Flow Cytometry Laboratory for TP #10 and TP #11 at least annually after the first year. This was noted for 2020 and 2021. The findings include. 1. A review of the personnel records revealed the following: a) TP #10 had an annual competency documented on 3/8/22 (evaluation was performed by TP #1 who is not qualified to perform high complexity testing). No annual evaluations were provided for 2020 and 2021, and TP #10 was previously listed on the CMS 209 - Laboratory Personnel Report. b) TP #11 had an annual competency documented on 3/8/22. No annual evaluations were provided for 2020 and 2021, and TP #11 was previously not listed on the CMS 209 - Laboratory Personnel Report. 2. During a phone interview on April 26, 2022 at 3:02 PM, TP #10 (also the Technical Supervisor) stated "in the past we have used proficiency testing as competencies". The surveyor explained proficiency testing is only one of the six criteria required for competency evaluation. Also, the surveyor asked when TP #11; TP #10 confirmed TP #11 began working three years ago as a part-time employee.</p>