

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 01D2139237	(X3) Date Survey Completed 05/05/2022
Name of Provider or Supplier Laboratory Corporation Of America Holdings	Street Address, City, State 800 St Vincent'S Drive Suite 500, Birmingham, AL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D6045	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(7)</p> <p>(b) The technical consultant is responsible for-- (b)(7) Identifying training needs and assuring that each individual performing tests receives regular in-service training and education appropriate for the type and complexity of the laboratory services performed;</p> <p>This STANDARD is not met as evidenced by: Based on a review of Personnel Records, the Training and Competency Assessment Policy, and an interview with the Laboratory Supervisor, the Technical Consultant failed to assure training and evaluations were documented initially for moderate complexity testing (Vaginal Wet Preparation and Clinitek Adventus for Urine Analysis) before performing patient testing. This was noted for one out of three Testing Personnel listed on the CMS - 209 Laboratory Personnel Report. The findings include: 1. A review of Personnel Records revealed Testing Personnel #3's initial training was not documented before performing patient testing (starting in November 2021). 2. A review of the Training and Competency Assessment Policy revealed, " Training 1. An employee who is new to the job function must receive training before being allowed to test independently. This includes employees new to the laboratory, employees who have transferred or have been promoted to different job duties... 4. There must be documentation of training...". 3. During an interview on 05/05/2022 at 1:15 PM, the Laboratory Supervisor confirmed initial training was not documented, and Testing Personnel started patient testing in November 2021.</p>
D6054	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(9)</p> <p>The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least</p>

annually, after the first year.

This STANDARD is not met as evidenced by:

Based on a review of Personnel Records, the Training and Competency Assessment Policy, and an interview with the Laboratory Supervisor, the Technical Consultant failed to document evaluations for moderate complexity testing (Vaginal Wet Preparation and Clinitek Adventus for Urine Analysis) at least annually, after the first year. This was noted for two out of three Testing Personnel listed on the CMS - 209 Laboratory Personnel Report. The findings include: 1. A review of Personnel Records revealed the Testing Personnel #1 and #2 did not have annual evaluations documented for 2020 and 2021. 2. A review of the Training and Competency Assessment Policy revealed, "...Competency Schedule 1. Competency for nonwaived tests is assessed at the following times: a. after training, b. semi-annually during the first year, c. annually after the first year...". 3. During an interview on 05/05/2022 at 10:15 AM. the Laboratory Supervisor confirmed annual competencies were not documented for Testing Personnel #1 and #2 for 2020 and 2021.