

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  05D0684312	<b>(X3) Date Survey Completed</b>  12/01/2021
<b>Name of Provider or Supplier</b>  Gerald N Bock Md	<b>Street Address, City, State</b>  1617 St Marks Plz, Ste C, Stockton, CA	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D5203</b>	<p><b>SPECIMEN IDENTIFICATION AND INTEGRITY</b> CFR(s): 493.1232</p> <p>The laboratory must establish and follow written policies and procedures that ensure positive identification and optimum integrity of a patient's specimen from the time of collection or receipt of the specimen through completion of testing and reporting of results.</p> <p>This STANDARD is not met as evidenced by: Based on review of patient pathology slides, patient medical record (MR), slide labeling, and interview with the laboratory personnel on 12/01/2021 at 10:30 a.m., it was determined that from 02/05/2020 through 09/10/2021 for one (1) out of seven (7) random patient testing records reviewed, the laboratory failed to follow written policies and procedures for specimen collection, labeling and biopsy reports for each biopsy specimen. The findings included: a. Review of patient pathology biopsy slides found that the unique slide identifier (patient name) found on the slides 20-009 I,A, I, B did not match the unique slide identifier (patient name) found in the patient's medical record. b. On 12/01/2021 11:30 a.m. (survey date), the laboratory personnel confirmed that the unique identifier (patient name) found on the pathology biopsy slides did not match the final patient medical record. c. Based on the laboratory's annual test volume declaration (11/16/2021) the laboratory performed 1,630 histopathology patients' testing.</p>