Statement of Deficiencies	**(X1) Provider/Supplier/CLIA Identification Number** 05D0971726	**(X3) Date Survey Completed** 06/21/2023
Name of Provider or Supplier Santa Barbara Pulmonary Associate	**Street Address, City, State** 2403 Castillo St, Ste 206, Santa Barbara, CA	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	**Summary Statement of Deficiencies**
D2089	ROUTINE CHEMISTRY CFR(s): 493.841(c) Failure to participate in a testing event is unsatisfactory performance and results in a score of 0 for the testing event. Consideration may be given to those laboratories failing to participate in a testing event only if-- (1) Patient testing was suspended during the time frame allotted for testing and reporting proficiency testing results; (2) The laboratory notifies the inspecting agency and the proficiency testing program within the time frame for submitting proficiency testing results of the suspension of patient testing and the circumstances associated with failure to perform tests on proficiency testing samples; and (3)The laboratory participated in the previous two proficiency testing events. This STANDARD is not met as evidenced by: Based on Surveyor review of laboratory's proficiency testing (PT) records, and interview with the laboratory testing person on June 21, 2023, at 11:35 am, the laboratory failed to participate in the 1st event of proficiency testing program in 2023. The findings include: 1. The laboratory successfully participated in the CAP PT program for the year 2021 and 2022. However, it did not have any record showing that it had participated in the 1st event of 2023 PT program. Therefore, the accuracy of the patient test results reported by the laboratory during the PT event cannot be assured and might have harmed patients. 2. The laboratory testing person on June 21, 2023, at 11:35 am, affirmed that the laboratory did not have any documentation for the 1st event of 2023. 3. The laboratory's testing declaration form, signed by the laboratory director on 6/19/2023 stated that the laboratory performed approximately 6,300 tests, annually.
D6015	LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(4)

	The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(4) Ensure that the laboratory is enrolled in an HHS approved proficiency testing program for the testing performed. This STANDARD is not met as evidenced by: Based on Surveyor review of laboratory's proficiency testing records, and interview with the laboratory testing person on June 21, 2023, at 11:35 am, the laboratory director failed to ensure that the laboratory participated in the 1st event of proficiency testing program in 2023. The findings include: See D2089.