

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 05D0990631	(X3) Date Survey Completed 11/18/2020
Name of Provider or Supplier Executive Physical Program	Street Address, City, State 8900 Wilshire Blvd, Ste 360, Beverly Hills, CA	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D2087	<p>ROUTINE CHEMISTRY CFR(s): 493.841(a)</p> <p>Failure to attain a score of at least 80 percent of acceptable responses for each analyte in each testing event is unsatisfactory analyte performance for the testing event.</p> <p>This STANDARD is not met as evidenced by: Based on the surveyor's review of the laboratory's records for evaluation of proficiency testing performance from CMS, laboratory proficiency reporting from API, and an interview with laboratory personnel (LP) and the technical consultant (TC) on 11/18/2020 between 11:00 a.m. and 12:30 p.m., it was determined that there were several unacceptable (below 80 %) proficiency testing (PT) results for Iron, Alkaline Phosphatase (ALP) and ALT/SGPT for Cycle 1 (2019). Findings include: 1. On 11/18/2020, an inspection was conducted between 11 a.m. and 12:30 p.m. 2. During a review of the laboratory documentation from API (agency providing the proficiency specimens), it was noted at approximately 11:45 a.m. that there were several unacceptable PT results for cycle Q1 on the 2019 report. The laboratory utilizes the Horiba Med. Pentra 400 instrument for general chemistry testing The LP and TC recognized these atypical results. 3. The findings and acceptable ranges were as follows: Analyte: Iron (5/5 Unacceptable) Sample Actual Result Expected Result (range) 1 237 133-201 2 243 153-231 3 166 104-158 4 49 24-37 5 102 64-97 Analyte: ALP (5/5 Unacceptable) Sample Actual Result Expected Result (range) 1 170 75-141 2 505 213-397 3 342 142-265 4 54 25-48 5 200 86-160 Analyte: ALT/SGPT (4/5 Unacceptable) Sample Actual Result Expected Result (range) 1 31 19-29 2 333 218-328 3 212 139-209 5 119 78-118 4. The LP and TC affirmed the unacceptable results listed above.</p>
D6022	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(5)</p>

The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(5) Ensure that the quality control and quality assessment programs are established and maintained to identify failures in quality as they occur.

This STANDARD is not met as evidenced by:
Based on a review of the proficiency laboratory documentation records and interviews with the LP and TC, it was determined that the laboratory director failed to ensure the verification and accuracy of test results of regulated analytes and to identify failures as they occurred for the previously mentioned Cycle 1 2019 proficiency testing. The findings include: See D-2087

D6049

TECHNICAL CONSULTANT RESPONSIBILITIES
CFR(s): 493.1413(b)(8)(iii)

The procedures for evaluation of the competency of the staff must include, but are not limited to review of intermediate test results or worksheets, quality control records, proficiency testing results, and preventive maintenance records.

This STANDARD is not met as evidenced by:
Based on a review of the proficiency laboratory documentation records and interviews with the LP and TC, it was determined that the technical consultant failed to ensure the the verification and accuracy of test results of regulated analytes and to identify failures as they occurred for the previously mentioned Cycle 1 2019 proficiency testing. The findings include: See D-2087