

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 05D2033588	(X3) Date Survey Completed 06/28/2018
Name of Provider or Supplier Norcal Dermatology & Cosmetic	Street Address, City, State 196 Wikiup Dr, Santa Rosa, CA	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5203	<p>SPECIMEN IDENTIFICATION AND INTEGRITY CFR(s): 493.1232</p> <p>The laboratory must establish and follow written policies and procedures that ensure positive identification and optimum integrity of a patient's specimen from the time of collection or receipt of the specimen through completion of testing and reporting of results.</p> <p>This STANDARD is not met as evidenced by: Based on review of patient biopsy slides, patient final electronic testing report (medical records (EMR), slide labeling and interview with a laboratory personnel and laboratory director, it was determined that from 05/23/2017 through 05/22/2018 for (13) out of nine (17) patients testing records reviewed, the laboratory failed to establish and follow written policies and procedures for specimen collection and labeling for each biopsy specimen source. The findings included: a. Review of three (13) patients unique identifier(s) (Accession #) found on the histopathology Mohs surgical biopsy slides (Mohs) were incorrectly transcribed in the final patient Mohs pathology report (EMR). Date Slides # EMR # 01/23/2018 M18-001 M17-001 01/23/2018 M18-002 M17-002 01/23/2018 M18-003 M17-003 01/23/2018 M18-004 M17-004 01/23/2018 M18-005 M17-005 01/30/2018 M18-007 M17-007 01/30/2018 M18-010 M17-010 01/30/2018 M18-012 M17-012 01/30/2018 M18-014 M17-014 05/22/2018 M18-053 M17-053 b. On 06/28/2018, 12:30 AM (survey date), the laboratory director affirmed that the unique identifiers (Accession #) on biopsy slides and the patient final testing report (EMR) were incorrectly transcribed. d. Based on the laboratory's annual test volume declaration the laboratory performed 120 patient initial biopsies annually.</p>