

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 07D1049768	(X3) Date Survey Completed 05/27/2022
Name of Provider or Supplier Backus Outpatient Care Center Laboratory	Street Address, City, State 111 Salem Tpke, Norwich, CT	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D6046	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(8)</p> <p>(b) The technical consultant is responsible for-- (b)(8) Evaluating the competency of all testing personnel and assuring that the staff maintain their competency to perform test procedures and report test results promptly, accurately and proficiently.</p> <p>This STANDARD is not met as evidenced by: Based on record review and staff interview, the laboratory failed to evaluate testing personnel (TP) using the required 6 elements to ensure competency to perform and report accurate test results in the specialty of Hematology. Findings include: 1. Review of the laboratory's CMS 209 form on 5/27/2022 revealed: a. The laboratory employs 15 moderate complexity TP. b. Nine of the 15 moderate complexity TP have been employed greater than 2 years. 2. Record review on 5/27/2022 of the laboratory's 2020 and 2021 TP competency records for TP employed greater than 2 years revealed: a. Four of nine TP did not have documented direct observation of patient test performance for 2020. b. Nine of nine TP did not have documented assessment of problem solving skills in 2020 and 2021. c. Nine of nine TP did not have documented direct observation of instrument maintenance and function checks in 2020 and 2021. d. Seven of nine TP did not have documented review of intermediate test reports, quality control, and maintenance records for 2020 and 2021. e. Nine of nine TP did not have documented assessment for the recording and reporting of test results for 2020 and 2021. 3. Staff interview with the site supervisor on 5/27/2022 at 10:45 AM confirmed the laboratory did not assess the above TP using all the required 6 elements in 2020 and 2021. 4. The laboratory performs 20,500 tests annually in the specialty of Hematology.</p>