

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 07D2103841	(X3) Date Survey Completed 08/18/2021
Name of Provider or Supplier Connecticut Addiction Medicine	Street Address, City, State 330 Main St, Hartford, CT	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D6168	<p>TESTING PERSONNEL CFR(s): 493.1487</p> <p>The laboratory has a sufficient number of individuals who meet the qualification requirements of 493.1489 of this subpart to perform the functions specified in 493.1495 of this subpart for the volume and complexity of testing performed.</p> <p>This CONDITION is not met as evidenced by: Based on record review and staff interview, the laboratory failed to ensure high complexity testing personnel performing liquid chromatography mass spectrometer (LCMS) testing in the subspecialty of toxicology had the appropriate education and experience (Refer to D6171).</p>
D6171	<p>TESTING PERSONNEL QUALIFICATIONS CFR(s): 493.1489(b)</p> <p>(b) Meet one of the following requirements: (b)(1) Be a doctor of medicine, doctor of osteopathy, or doctor of podiatric medicine licensed to practice medicine, osteopathy, or podiatry in the State in which the laboratory is located or have earned a doctoral, master's or bachelor's degree in a chemical, physical, biological or clinical laboratory science, or medical technology from an accredited institution; (b)(2)(i) Have earned an associate degree in a laboratory science, or medical laboratory technology from an accredited institution or-- (b)(2)(ii) Have education and training equivalent to that specified in paragraph (b)(2)(i) of this section that includes-- (b)(2)(ii)(A) At least 60 semester hours, or equivalent, from an accredited institution that, at a minimum, include either-- (b)(2)(ii)(A)(1) 24 semester hours of medical laboratory technology courses; or (b)(2)(ii)(A)(2) 24 semester hours of science courses that include-- (b)(2)(ii)(A)(2)(i) Six semester hours of chemistry; (b)(2)(ii)(A)(2)(ii) Six semester hours of biology; and (b)(2)(ii)(A)(2)(iii) Twelve semester hours of chemistry, biology, or medical laboratory technology in any combination; and (b)(2)(ii)(B) Have laboratory</p>

training that includes either of the following: (b)(2)(ii)(B)(1) Completion of a clinical laboratory training program approved or accredited by the ABHES, the CAHEA, or other organization approved by HHS. (This training may be included in the 60 semester hours listed in paragraph (b)(2)(ii)(A) of this section.) (b)(2)(ii)(B)(2) At least 3 months documented laboratory training in each specialty in which the individual performs high complexity testing. (b)(3) Have previously qualified or could have qualified as a technologist under 493.1491 on or before February 28, 1992; (b)(4) On or before April 24, 1995 be a high school graduate or equivalent and have either-- (b)(4)(i) Graduated from a medical laboratory or clinical laboratory training program approved or accredited by ABHES, CAHEA, or other organization approved by HHS; or (b)(4)(ii) Successfully completed an official U.S. military medical laboratory procedures training course of at least 50 weeks duration and have held the military enlisted occupational specialty of Medical Laboratory Specialist (Laboratory Technician); (b)(5)(i) Until September 1, 1997-- (b)(5)(i)(A) Have earned a high school diploma or equivalent; and (b)(5)(i)(B) Have documentation of training appropriate for the testing performed before analyzing patient specimens. Such training must ensure that the individual has-- (b)(5)(i)(B)(1) The skills required for proper specimen collection, including patient preparation, if applicable, labeling, handling, preservation or fixation, processing or preparation, transportation and storage of specimens; (b)(5)(i)(B)(2) The skills required for implementing all standard laboratory procedures; (b)(5)(i)(B)(3) The skills required for performing each test method and for proper instrument use; (b)(5)(i)(B)(4) The skills required for performing preventive maintenance, troubleshooting, and calibration procedures related to each test performed; (b)(5)(i)(B)(5) A working knowledge of reagent stability and storage; (b)(5)(i)(B)(6) The skills required to implement the quality control policies and procedures of the laboratory; (b)(5)(i)(B)(7) An awareness of the factors that influence test results; and (b)(5)(i)(B)(8) The skills required to assess and verify the validity of patient test results through the evaluation of quality control values before reporting patient test results; and (b)(5)(i)(B)(8)(ii) As of September 1, 1997, be qualified under 493.1489(b)(1), (b)(2), or (b)(4), except for those individuals qualified under paragraph (b)(5)(i) of this section who were performing high complexity testing on or before April 24, 1995; (b)(6) For blood gas analysis-- (b)(6)(i) Be qualified under 493.1489(b)(1), (b)(2), (b)(3), (b)(4), or (b)(5); (b)(6)(ii) Have earned a bachelor's degree in respiratory therapy or cardiovascular technology from an accredited institution; or (b)(6)(iii) Have earned an associate degree related to pulmonary function from an accredited institution; or (b)(7) For histopathology, meet the qualifications of 493.1449 (b) or (l) to perform tissue examinations.

This STANDARD is not met as evidenced by:

Based on record review and staff interview, the laboratory failed to ensure that one of three testing personnel performing high complexity liquid chromatography mass spectrometer (LCMS) testing was qualified and had a bachelor's degree in a chemical, physical, biological or clinical laboratory science, or medical technology as required for performing and reporting confirmation toxicology results. Findings include: 1. Record review of personnel records on 8/18/2021 revealed testing personnel #1 (TP#1) was hired 7/8/2019 and did not meet the qualifications for high complexity testing personnel based on the following: a. TP#1 college transcript: Central Connecticut State University graduated 8/26/2018: Bachelor of Arts with a major in psychological science. b. TP#1 documented coursework did not have the required 24 semester hours of science courses. c. TP#1 did not have three months of laboratory training prior to reporting test results. 2. Staff interview with the laboratory technical supervisor (TS) on 8/18/2021 at 10:50 AM confirmed: a. TP#1 was hired 7/8/2019. b.

TP#1 attained a bachelor degree that did not include the necessary science courses to perform high complexity testing. c. TP#1 processed (integrated) quantitative test results received from the LCMS instruments including proficiency test results and TS randomly reviewed TP#1 work for accuracy. d. TS further stated TP#1 credentials were reviewed and approved by a prior supervisor. 3. The laboratory performs 631, 627 tests annually utilizing LCMS for the following analytes: Amphetamine, Methamphetamine, Buprenorphine, Norbuprenorphine, Fentanyl, Norfentanyl, 7-Aminoclonazepam, Nordiazepine, Oxazepam, Temazepam, Lorazepam, a-Hydroxyalprazolam, Cocaine Metabolite, Gabapentin, Ketamine, Norketamine, 6-MAM, MDMA, Methodone, EDDP, Methylone, Codeine, Hydrocodone, Hydromorphone, Morphine, Oxycodone, Oxymorphone, THC and Tramadol..