

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  10D0992924	<b>(X3) Date Survey Completed</b>  12/04/2019
<b>Name of Provider or Supplier</b>  Nova Southeastern University -	<b>Street Address, City, State</b>  3200 S University Dr - Ziff Bldg, Room 4343, Fort Lauderdale, FL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D0000</b>	A recertification survey conducted on 12/04/2019 found that Nova Southeastern University clinical laboratory was not in compliance with 42 CFR Part 493, Requirements for Laboratories.
<b>D5209</b>	<p><b>PERSONNEL COMPETENCY ASSESSMENT POLICIES</b> CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on record review and staff interview, the laboratory failed to perform annual competency assessment for 1 out of 2 testing personnel (TP) for 2 out of 2 years reviewed. Findings include: 1) Review of CMS form 209 Laboratory Personnel Report dated and signed by the Laboratory Director on 12/04/2019 revealed that: -The Laboratory Director, Clinical Consultant (A), Technical Supervisor, General Supervisor (B) and Testing person (TP) B, was the same person. -Clinical Consultant (B), General Supervisor (A), Testing person (TP) # A was same individual. 2) Review of personnel files revealed that there was no documentation of the annual competency assessment for TP # A for 2018 and 2019. During an interview on 12/04/2019 at 1:00 PM, with Laboratory Director, she confirmed that there was no documentation of the competency assessment for TP A for the period of reference.</p>