

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  10D2120160	<b>(X3) Date Survey Completed</b>  08/13/2020
<b>Name of Provider or Supplier</b>  Universtity Of Miami Hospital And Clinics- Lennar	<b>Street Address, City, State</b>  5555 Ponce De Leon Blvd, Coral Gables, FL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D0000</b>	A recertification survey conducted on 08/12-13/2020 found that the University of Miami Hospital and Clinics - Lennar clinical laboratory was not in compliance with 42 CFR Part 493, Requirements for Laboratories.
<b>D5209</b>	<p><b>PERSONNEL COMPETENCY ASSESSMENT POLICIES</b> CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on record review and staff interview, the laboratory competency assessment did not follow the employee competency policy for years 2019 and 2020; for 14 (TP 10 to TP 22) out of 22 (TP 1 to TP 22) testing personnel (TP).for 2 out of 2 years reviewed (2019-2020). Findings include: 1) Review of CMS form 209, Laboratory Personnel Report dated and signed by the Laboratory Director (LD) on 08/12/2020 revealed that there were 22 TP. 2) Review of Employee Competency Policy # Gen-07 revealed the criteria to follow when conducting an Employee Competency Evaluation. 3) Review of employee folders revealed that for TP 10 to 22, the procedure to perform the competency assessment was the Ongoing Professional Practice Evaluation. 4) Review of the Ongoing Professional Practice Evaluation policy revealed that this does not meet the CLIA requirements listed in the Employee Competency Policy. During an interview on 08/12/202 at 11:30 AM, with LD, she confirmed that the laboratory followed the Ongoing Professional Practice Evaluation for TP 10 to 22 to document competency assessment for the TP of reference.</p>