

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 10D2138083	(X3) Date Survey Completed 03/11/2021
Name of Provider or Supplier Neogenomics Laboratories Inc	Street Address, City, State 2224 Wellington Ave, Alva, FL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D0000	An unannounced complaint survey for #2021003558 was conducted from March 4, 2021 through 3/11/21 at Neogenomics Laboratories Inc., a clinical laboratory in Alva, Florida. Complaint #2021003558 was substantiated at D2000 and D2013. Neogenomics Laboratories Inc. is not in compliance with Code of Federal Regulations (CFR) 42, Part 493, Laboratory Requirements. The following is a description of the noncompliance.
D2000	<p>ENROLLMENT AND TESTING OF SAMPLES CFR(s): 493.801</p> <p>Each laboratory must enroll in a proficiency testing (PT) program that meets the criteria in subpart I of this part and is approved by HHS. The laboratory must enroll in an approved program or programs for each of the specialties and subspecialties for which it seeks certification. The laboratory must test the samples in the same manner as patients' specimens. For laboratories subject to 42 CFR part 493 published on March 14, 1990 (55 FR 9538) prior to September 1, 1992, the rules of this subpart are effective on September 1, 1992. For all other laboratories, the rules of this subpart are effective January 1, 1994.</p> <p>This CONDITION is not met as evidenced by: Based on review of College of American Pathologists (CAP) proficiency testing and interview with the Site Quality Manager and Site Director, the laboratory (Lab C) reported the proficiency testing of Lab A (a sister lab that has their own Clinical Laboratory Improvement Amendments (CLIA) Certificate of Accreditation) in the first testing event in 2020 in the Cytogenetics (See D2013).</p>
D2013	<p>TESTING OF PROFICIENCY TESTING SAMPLES CFR(s): 493.801(b)(4)</p> <p>The laboratory must not send proficiency testing samples or portions of proficiency</p>

testing samples to another laboratory for any analysis for which it is certified to perform in its own laboratory. Any laboratory that CMS determines intentionally referred a proficiency testing sample to another laboratory for analysis may have its certification revoked for at least one year. If CMS determines that a proficiency testing sample was referred to another laboratory for analysis, but the requested testing was limited to reflex, distributive, or confirmatory testing that, if the sample were a patient specimen, would have been in full conformance with written, legally accurate and adequate standard operating procedures for the laboratory's testing of patient specimens, and if the proficiency testing referral is not a repeat proficiency testing referral, CMS will consider the referral to be improper and subject to alternative sanctions in accordance with 493.1804(c), but not intentional. Any laboratory that receives a proficiency testing sample from another laboratory for testing must notify CMS of the receipt of that sample regardless of whether the referral was made for reflex or confirmatory testing, or any other reason.

This STANDARD is not met as evidenced by:

Based on review of College of American Pathologists (CAP) proficiency testing (PT) and interview with the Site Quality Manager and Site Director, the laboratory (Lab C) reported the proficiency testing of Lab A (a sister lab that has their own Clinical Laboratory Improvement Amendments (CLIA) Certificate of Accreditation) in the first testing event in 2020 in the Cytogenetics. Findings Included: Review of CAP instructions on page 2 of the Cytogenetics portion revealed that "If referral for testing is routinely performed for patient specimens, the practice cannot be followed for PT specimens. Referral is movement of the specimen from a laboratory with a CLIA identification number. Laboratories must ensure that personnel do not share results or refer PT specimens for any reflex or testing outside their CLIA identification number." Review of the laboratories proficiency testing policy revealed under section 6.2.3.1 states "Proficiency testing specimens are not referred to other laboratories and are not accepted from other laboratories for analysis. This prohibition takes precedence over the requirement that proficiency testing specimens be handled in the same manner as patient specimens." Under section 6.2.3.2 it states that "Laboratories that perform testing using a distributive testing model where portions of the process are performed at another laboratory with a different CAP/CLIA number must not participate in formal PT, as this is considered PT referral by CMS and is Strictly prohibited." Review of CAP proficiency testing CY-A 2020 for Cytogenetics revealed 6 proficiency testing specimens. On each of the reports it states that the technical component processing and analysis of this test was completed at Lab A and the professional component was completed at Lab C. Interview on 03/04/2021 at 1:30 p. m., the Site Quality Manager and the Site Director revealed that the reports were accurate, and the digital images were read out of the laboratory for the sister Lab A. They confirmed that the laboratory had implemented a corrective action and new policy on August 18, 2020 to prevent proficiency images being read at other CLIA certified laboratories.