

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 12D0673176	(X3) Date Survey Completed 11/25/2024
Name of Provider or Supplier Eureka Springs Hospital	Street Address, City, State 24 Norris Street, Eureka Springs, AR	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D0000	This is the CLIA complaint survey of the laboratory conducted on 11/22/2024. At the time of the survey of the hospital laboratory, the lab had no qualified personnel approved to perform moderate or high complexity testing and had ceased testing. Additional information was received on 11/25/2024. No changes in the findings.
D6000	<p>MODERATE COMPLEXITY LABORATORY DIRECTOR CFR(s): 493.1403</p> <p>The laboratory must have a director who meets the qualification requirements of 493.1405 of this subpart and provides overall management and direction in accordance with 493.1407 of this subpart.</p> <p>This CONDITION is not met as evidenced by: Based on review of the CMS-209 and personnel records presented to the surveyor, as well as interviews with staff, the laboratory director failed to provide overall management and direction by not employing testing personnel who were competent to perform laboratory testing as cited at: D6029 - The laboratory director failed to employ two of two testing personnel who were competent to perform laboratory testing</p>
D6029	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(11)</p> <p>The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(11) Ensure that prior to testing patients' specimens, all personnel have the appropriate education and experience, receive the appropriate training for the type and complexity of the services offered, and have demonstrated that they can</p>

perform all testing operations reliably to provide and report accurate results.

This STANDARD is not met as evidenced by:

Based on review of the CMS-209 and personnel records presented to the surveyor, as well as interviews with staff, the laboratory director failed to employ two of two testing personnel who were competent to perform laboratory testing. A. A review of CMS-209 dated 11/22/2024 revealed two laboratory employees listed as testing personnel (#2, and #3 as listed on the form CMS-209). B. A review of personnel records for Employee #2 revealed the only competency evaluation in the record was not signed by the laboratory director and the employee was not marked as competent on 17 of 33 items evaluated. C. A review of personnel records presented to the surveyor for Employee #3 revealed the last competency assessment for Employee #3 was dated 2018. D. In an interview, at 10:37 on 11/22/2024, the interim CEO confirmed there were no other records presented for review for laboratory employee #2 or #3.

D6054

TECHNICAL CONSULTANT RESPONSIBILITIES

CFR(s): 493.1413(b)(9)

The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least annually, after the first year.

This STANDARD is not met as evidenced by:

Based on review of the CMS-209 and personnel records presented to the surveyor, as well as interviews with staff, the technical consultant failed to evaluate one of two testing personnel annually after the first year of employment. A. A review of CMS-209 dated 11/22/2024 revealed two laboratory employees listed as testing personnel (#2, and #3 as listed on the form CMS-209). B. A review of personnel records for Employee #3 revealed the last annual competency assessment in the personnel file was dated 2018. D. An interview, at 10:37 on 11/22/2024, the interim CEO confirmed there were no other records presented to the surveyor for employee #3.

D6069

TESTING PERSONNEL RESPONSIBILITIES

CFR(s): 493.1425(a)

Each individual performs only those moderate complexity tests that are authorized by the laboratory director and require a degree of skill commensurate with the individual's education, training or experience, and technical abilities.

This STANDARD is not met as evidenced by:

Based on review of the CMS-209 and personnel records presented to the surveyor, as well as interviews with staff, one of two testing personnel were not authorized to perform laboratory testing. A. A review of CMS-209 dated 11/22/2024 revealed three laboratory employees listed as #1, #2, and #3. Employee #1 is listed as the laboratory director. Employees #2 and #3 are listed as testing personnel. B. A review of personnel records for Employee #2 revealed competency evaluation was not signed by the laboratory director and there was no other document giving authorization to

perform laboratory testing. C. An interview, at 10:37 on 11/22/2024, the interim CEO confirmed there were no records of employee #2 being authorized, by the laboratory director, to perform testing.