

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 14D0414986	(X3) Date Survey Completed 11/13/2019
Name of Provider or Supplier Aurora Health Center - Zion Clinic	Street Address, City, State 3115 N Lewis Ave, Zion, IL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D6063	<p>LABORATORY TESTING PERSONNEL CFR(s): 493.1421</p> <p>The laboratory must have a sufficient number of individuals who meet the qualification requirements of 493.1423, to perform the functions specified in 493.1425 for the volume and complexity of tests performed.</p> <p>This CONDITION is not met as evidenced by: Based on record review and an interview with the technical consultant (TC); the laboratory failed to ensure individuals meet the qualification requirements of 493.1423, to perform the functions specified in 493.1425 for the complexity of tests performed in the specialty of Microbiology for 2 out of 2 testing personnel (TP). Findings: 1. The laboratory failed to ensure that laboratory personnel were qualified for Microbiology testing, prior to testing patients. See D6065.</p>
D6064	<p>TESTING PERSONNEL QUALIFICATIONS CFR(s): 493.1423(a)</p> <p>Each individual performing moderate complexity testing must possess a current license issued by the State in which the laboratory is located, if such licensing is required.</p> <p>This STANDARD is not met as evidenced by: Based on record review, lack of documentation, the Laboratory Personnel Report (CMS-209) and an interview with the technical consultant (TC); the laboratory failed to ensure laboratory employees meet the education qualification requirements for performing testing in the specialty of Microbiology for 2 out of 2 testing personnel (TP). Findings: 1. The employee files and CMS-209 were reviewed. 2. The CMS 209 lists 2 TP (TP1 and TP2) performing Wet mounts and Microscopic Urinalysis testing in the laboratory. 3. The employee files of TP1 and TP2 revealed the following: *TP1</p>

was hired on 12/27/2018 and TP2 was hired on 01/10/2019. *TP1 and TP2 were authorized to conduct patient testing; *TP1 and TP2 were performing patient testing; *TP1 and TP2 had no education credentials in their files. 4. The laboratory failed to ensure TP1 and TP2 met the education requirement of possessing, at minimal, a high school diploma, high school transcript, or G.E.D.; prior to testing patients. 5. On a recertification survey conducted 11/13/2019 at 2:10 PM, the TC confirmed the above findings.