

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 14D0421529	(X3) Date Survey Completed 04/03/2023
Name of Provider or Supplier York Urologic Uropartners	Street Address, City, State 950 N York Rd, Hinsdale, IL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D2016	<p>SUCCESSFUL PARTICIPATION CFR(s): 493.803(a)(b)(c)</p> <p>(a) Each laboratory performing nonwaived testing must successfully participate in a proficiency testing program approved by CMS, if applicable, as described in subpart I of this part for each specialty, subspecialty, and analyte or test in which the laboratory is certified under CLIA. (b) Except as specified in paragraph (c) of this section, if a laboratory fails to participate successfully in proficiency testing for a given specialty, subspecialty, analyte or test, as defined in this section, or fails to take remedial action when an individual fails gynecologic cytology, CMS imposes sanctions, as specified in subpart R of this part. (c) If a laboratory fails to perform successfully in a CMS-approved proficiency testing program, for the initial unsuccessful performance, CMS may direct the laboratory to undertake training of its personnel or to obtain technical assistance, or both, rather than imposing alternative or principle sanctions except when one or more of the following conditions exists: (1) There is immediate jeopardy to patient health and safety. (2) The laboratory fails to provide CMS or a CMS agent with satisfactory evidence that it has taken steps to correct the problem identified by the unsuccessful proficiency testing performance. (3) The laboratory has a poor compliance history.</p> <p>This CONDITION is not met as evidenced by: Based on review of the CASPER Report 0096D, review of American Proficiency Institute (API) proficiency testing records, and interview with office manager (OM); the laboratory failed to successfully participate in proficiency testing (PT) for bacteriology during events two of 2022 and event one of 2023. Refer to D2028.</p>
D2028	<p>BACTERIOLOGY CFR(s): 493.823(e)</p> <p>Failure to achieve an overall testing event score of satisfactory performance for two</p>

consecutive testing events or two out of three consecutive testing events is unsuccessful performance.

This STANDARD is not met as evidenced by:

Based on review of the CASPER Report 0096D, review of American Proficiency Institute (API) proficiency testing records, and interview with office manager (OM); the laboratory failed to successfully participate in proficiency testing (PT) for bacteriology during events two of 2022 and event one of 2023. Findings include: 1. Review of the CASPER Report 0096D ran on 03/31/2023 and API PT documentation identified unsuccessful PT performances for the sub-specialty of bacteriology. a. BACTERIOLOGY Bacteriology - EVENT- 2, 2022 = 78% - Unsatisfactory b. BACTERIOLOGY Bacteriology - EVENT- 1, 2023 = 75% - Unsatisfactory 2. On 04/03/2023 at 11:00 a.m., OM confirmed finding one.

D6076

LABORATORY DIRECTOR

CFR(s): 493.1441

The laboratory must have a director who meets the qualification requirements of 493.1443 of this subpart and provides overall management and direction in accordance with 493.1445 of this subpart.

This CONDITION is not met as evidenced by:

Based on review of the CASPER Report 0096D, review of American Proficiency Institute (API) proficiency testing records, and interview with office manager (OM); the laboratory director failed to meet the requirements of this condition. The laboratory director failed to ensure test methods were performed as required to provide accurate and reliable results for the sub-specialty of bacteriology in 2022 through 2023. Refer to D6087.

D6087

LABORATORY DIRECTOR RESPONSIBILITIES

CFR(s): 493.1445(e)(3)(iii)

The laboratory director must ensure that laboratory personnel are performing the test methods as required for accurate and reliable results.

This STANDARD is not met as evidenced by:

Based on review of the CASPER Report 0096D, review of American Proficiency Institute (API) proficiency testing records, and interview with office manager (OM); the laboratory director failed to ensure test methods were performed as required to provide accurate and reliable results for the sub-specialty of bacteriology in 2022 through 2023. Findings Include: 1. The laboratory failed to ensure successful participation in proficiency testing for the sub-specialty of bacteriology for event two of 2022 and event one of 2023. Refer to D2028.