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| <b>Statement of Deficiencies</b>   | <b>(X1) Provider/Supplier/CLIA Identification Number</b><br><br>14D0669014     | <b>(X3) Date Survey Completed</b><br><br>04/14/2021 |
| <b>Name of Provider or Supplier</b><br><br>Alden Estates Of Barrington   | <b>Street Address, City, State</b><br><br>1420 S Barrington Rd, Barrington, IL |   |
| For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency. |  |   |

| <b>(X4) ID Prefix Tag</b> | <b>Summary Statement of Deficiencies</b>   |
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| <b>D5209</b>              | <p>PERSONNEL COMPETENCY ASSESSMENT POLICIES<br/>CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by:<br/>Based on record review and an interview with the testing personnel (TP1); the laboratory failed to establish written procedures that meet the requirement to assess employees performing Blood Gas testing in the subspecialty of Routine Chemistry, affecting 12 out of 12 testing personnel (TP). Findings include: 1. The procedures manual and personnel records were reviewed. 2. The laboratory failed to have a written competency policy and procedures that includes the following requirements:<br/>*The assessment of problem solving skills. *The indication of whether the TP being assessed is evaluated as "Satisfactory or Unsatisfactory" and date of determination.<br/>*The indication of whether the TP is authorized to perform the test for which they were evaluated with the date of authorization, and *The indication of whether the assessed TP required supervision or no supervision. 3. The personnel records revealed the laboratory failed to assess 12 out of 12 TP (TP1, TP2, TP3, ...TP12) with a competency procedure which meets the testing personnel assessment requirements for performing Blood Gas testing, when evaluated semiannually and annually. 4. On a Recertification survey conducted on 04/14/2021 at 12:50 PM, the TP1 confirmed the above findings.</p> |