

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  14D0997837	<b>(X3) Date Survey Completed</b>  05/08/2019
<b>Name of Provider or Supplier</b>  Northwestern Skin Cancer Institute	<b>Street Address, City, State</b>  737 N Michigan Ave, Ste 2310, Chicago, IL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D5209</b>	<p><b>PERSONNEL COMPETENCY ASSESSMENT POLICIES</b> CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on the laboratory's procedures, records, the Laboratory Personnel Report (CMS 209), and an interview with the office staff and laboratory director (LD); the laboratory failed to establish written procedures to assess employees performing Potassium Oxide (KOH) testing, affecting 4 out of 4 testing personnel (TP). Findings: 1. The CMS 209, personnel records, and procedures manual were reviewed. 2. The laboratory failed to have a written competency procedure to evaluate its TP performing KOH testing. 3. TP1 and TP2 were listed on the CMS 209 for performing KOH testing. 4. The personnel documents for TP1 and TP2 did not include a KOH performance evaluation. 5. On a Recertification survey conducted on 05/08/19 at 12:45 PM, the office staff and LD confirmed the above findings.</p>