

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 14D1043269	(X3) Date Survey Completed 09/12/2019
Name of Provider or Supplier Rockford Dermatology Sc	Street Address, City, State 4338 Morsay Drive, Rockford, IL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5203	<p>SPECIMEN IDENTIFICATION AND INTEGRITY CFR(s): 493.1232</p> <p>The laboratory must establish and follow written policies and procedures that ensure positive identification and optimum integrity of a patient's specimen from the time of collection or receipt of the specimen through completion of testing and reporting of results.</p> <p>This STANDARD is not met as evidenced by: Based on review of patient testing logs; Mohs maps, patients' test reports and interview with the laboratory director; the laboratory failed to establish and follow written policies and procedures that ensured positive identification and optimum integrity of a patient's specimen from the time of collection or receipt of the specimen through completion of testing and reporting of results. Findings: 1. On 09/12/19 at 10:00 AM the surveyor reviewed the patient testing log. The following information is documented on the patient testing log: a. Date b. Accession # consisting of the letter "M" for Mohs; 2-digit year #; and chronologic 3-digit number. c. Patient's Name d. Site e. Level f. Physician's Last Name g. Tech Initials 2. The surveyor selected 5 patients names from the Patient Log, along with their corresponding Mohs map and test report. 3. 1 of 5 patients Mohs maps was not documented using the lab's established format for documenting the Accession #s for March 12, 2019. 4. Further review of the Patient Log and Mohs maps form 03/12/19 revealed that there were more patients tested that day. Mohs maps for all 4 was not documented using the lab's established format for documenting the Accession # / 5. On September 12, 2019 at 11:30 AM, the laboratory director confirmed the surveyor's findings.</p>