

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 14D2105249	(X3) Date Survey Completed 03/04/2020
Name of Provider or Supplier Ksb Hospital Cath Lab	Street Address, City, State 403 E First St, Dixon, IL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5209	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on review of laboratory policies and procedures and personnel records and interview with the technical consultant; the laboratory failed to follow written policies and procedures to assess consultant competency. Findings: 1. There were no procedures for how the laboratory accesses the technical consultant's competency to perform her duties. 2. Review of personnel records revealed that the laboratory director delegated 1 person to the position of technical consultant. 3. There was no documentation to show that competency assessment was performed on the technical consultant in 2018, 2019, and 2020. 4. On March 4, 2020, the technical consultant confirmed the surveyor's findings.</p>
D6045	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(7)</p> <p>(b) The technical consultant is responsible for-- (b)(7) Identifying training needs and assuring that each individual performing tests receives regular in-service training and education appropriate for the type and complexity of the laboratory services performed;</p> <p>This STANDARD is not met as evidenced by: Based on review of Laboratory Personnel Report (FORM CMS 209) and personnel records and interview with the technical consultant; the technical consultant failed to</p>

be responsible for identifying training needs of everyone performing tests received regular in-service training and education appropriate for the type of testing performed. Findings: 1. There are a total of 8 persons listed on FORM CMS 209, that are responsible for performing Active Clotting Time (ACT) testing in the laboratory. 2. Review of 8 personnel records revealed that there was no documentation to show the training for 1 of 8 testing personnel performing ACT testing. 3. On March 4, 2020 at 10:30 AM, the technical consultant confirmed the surveyor's findings.

D6046

TECHNICAL CONSULTANT RESPONSIBILITIES
CFR(s): 493.1413(b)(8)

(b) The technical consultant is responsible for-- (b)(8) Evaluating the competency of all testing personnel and assuring that the staff maintain their competency to perform test procedures and report test results promptly, accurately and proficiently.

This STANDARD is not met as evidenced by:
Based on review of Laboratory Personnel Report (FORM CMS 209) and personnel records and interview with the technical consultant; the technical consultant failed to be responsible for evaluating the competency of all testing personnel to ensure that staff maintained their competency to perform test procedures and report test results. Findings: 1. There are a total of 8 testing personnel and 1 technical consultant listed on FORM CMS 209. 2. Review of the delegation form for the technical consultant revealed that the technical consultant was responsible for evaluating the following: a. Quality Control b. Patient Results c. Proficiency Testing d. Competency 3. Review of personnel records revealed that there was no documentation to show a competency assessment for 1 of 8 testing personnel performing ACT testing. 4. On March 4, 2020 at 10:30 AM, the technical consultant confirmed the surveyor's findings.