

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 14D2130632	(X3) Date Survey Completed 05/22/2019
Name of Provider or Supplier Advanced Skin And Mohs Surgery Center	Street Address, City, State 2845 N Sheridan Rd, Ste 912, Chicago, IL	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5209	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on record review and an interview with the laboratory director (LD); the laboratory failed to establish written policies and procedures to assess employees performing grossing and tissue processing for Histopathology for 1 out of 1 testing personnel (TP). Findings include: 1. The procedures manual and personnel records were reviewed. 2. The laboratory failed to have a written competency policy and procedure to assess TP1 which includes the following requirements: *Direct observations of routine patient test performance, including patient preparation, if applicable, specimen handling, processing and testing; *Monitoring the recording and reporting of test results (for example, recording patients and their results in the labs' test log and EMR system) *If applicable, the review of intermediate test results or worksheets, quality control records, proficiency testing results, and preventive maintenance records; *The direct observation of performance of instrument maintenance and function checks; * Assessment of test performance through testing previously analyzed specimens, internal blind testing samples or external proficiency testing samples; and *The assessment of problem solving skills. 3. The personnel records revealed that the laboratory failed to evaluate the competency of TP1 with an established competency procedure, prior to processing patients' specimens for Mohs. 4. On 05/22/2019 at 11:00 AM, the laboratory director confirmed the above findings.</p>