

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 16D0383590	(X3) Date Survey Completed 07/01/2025
Name of Provider or Supplier Unitypoint Clinic Pediatrics Walnut Creek	Street Address, City, State 1271 8th Street, Suite 100, West Des Moines, IA	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5209	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on review of the laboratory's Training and Competency policy, personnel records, and confirmed by interview with Technical Consultant (TC) #1 at 10:00 am on 07/01/2025, the laboratory failed to follow written policies and procedures for assessing competency for 5 out of 20 new testing personnel hired since the last survey on 07/11/2023. The findings include: 1. The laboratory's Training and Competency policy stated the following under the New Employee heading: "Initially: Perform training checklists, competency & quizzes. 6 months: Perform competency. 1 Year: Perform competency & quizzes." 2. The laboratory hired and documented training for Testing Personnel (TP) #1- #20 since the last survey on 07/11/2023. 3. Review of personnel records did not include documentation of competency assessment performance at 6 months for the following personnel: TP #1, TP #2, TP #3, TP #6, and TP #11. 4. At the time of the survey, TC #1 confirmed the laboratory did not assess and document 6 month competency assessments for TP #1, TP #2, TP #3, TP #6, and TP #11.</p>