

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  16D0973809	<b>(X3) Date Survey Completed</b>  04/23/2024
<b>Name of Provider or Supplier</b>  Unitypoint Clinic	<b>Street Address, City, State</b>  6520 Se 14th Street, Des Moines, IA	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D5215</b>	<p><b>EVALUATION OF PROFICIENCY TESTING PERFORMANCE</b> CFR(s): 493.1236(b)(2)</p> <p>The laboratory must verify the accuracy of any analyte, specialty or subspecialty assigned a proficiency testing score that does not reflect laboratory test performance (that is, when the proficiency testing program does not obtain the agreement required for scoring as specified in subpart I of this part, or the laboratory receives a zero score for nonparticipation, or late return or results).</p> <p>This STANDARD is not met as evidenced by: Based on review of proficiency testing (PT) records and confirmed by laboratory personnel identifier #2 (refer to the Laboratory Personnel Report) at 1:45 pm on 04/23 /2024, the laboratory failed to perform a self evaluation when it received a score of zero for two out of seven testing events from 01/01/2022- 04/23/2024. The findings include: 1. For 2023 testing event 2, the laboratory received a score of zero for failure to submit PT results before the submission deadline for the following survey: FH9- B 2023 Hematology Auto Differentials. The survey included all analytes in a complete blood count (CBC) and automated white blood cell differential. 2. For 2023 testing event 3, the laboratory received a score of zero for failure to submit PT results before the submission deadline for the following survey: PCARM- C 2023 Point-of-Care Cardiac Markers. The survey included the analyte, D-dimer. 3. At the time of the survey, the laboratory did not have records indicating it had performed a self-evaluation for the failed PT scores.</p>
<b>D6054</b>	<p><b>TECHNICAL CONSULTANT RESPONSIBILITIES</b> CFR(s): 493.1413(b)(9)</p> <p>The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least annually, after the first year.</p>

This STANDARD is not met as evidenced by:

Based on review of personnel records and confirmed by laboratory personnel identifier #2 (refer to the Laboratory Personnel Report) at 12:49 pm on 04/23/2024, the technical consultant failed to assess and document the competency of individuals performing moderate complexity testing (complete blood count and D-dimer) at least annually for 2 out of 23 testing personnel (personnel identifiers #7 and #18) in 2023.