

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 16D0983057	(X3) Date Survey Completed 01/15/2025
Name of Provider or Supplier Unitypoint Health Finley Hospital	Street Address, City, State 350 N Grandview Avenue, Dubuque, IA	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5209	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on review of the POCQM002 Quality Management, POCT; Competency Assessment procedure and laboratory personnel records and confirmed by technical consultant (TC) identifier #1 at approximately 10:00 am on 1/15/2025, the laboratory failed to follow the competency procedures for four out of four new employees hired since the last survey performed on 6/21/2023. The findings include: 1. The POCQM002 Quality Management, POCT; Competency Assessment procedure states, "For Non-waived testing: During the first year of an individuals duties, competency must be assessed semi-annually." 2. Testing personnel identifier #2 had initial training for non-waived testing documented on 4/22/2023. 3. Testing personnel identifier #3 had initial training for non-waived testing documented on 4/12/2023. 4. Testing personnel identifier #4 had initial training for non-waived testing documented on 2/2/2024. 5. Testing personnel identifier #5 had initial training for non-waived testing documented on 4/23/2024. 6. The TC identifier #1 confirmed at the time of the survey, the laboratory did not have semi-annual competencies documented for the above testing personnel.</p>