

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  18D0325087	<b>(X3) Date Survey Completed</b>  03/20/2024
<b>Name of Provider or Supplier</b>  Tug Valley Arh Rmc	<b>Street Address, City, State</b>  260 Hospital Drive, South Williamson, KY	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D0000</b>	A recertification survey was initiated on 03/19/2024 and concluded on 03/20/2024. The facility was found not to be in compliance with the laboratory requirements of 42 CFR Part 493 with deficiencies cited.
<b>D5211</b>	<p><b>EVALUATION OF PROFICIENCY TESTING PERFORMANCE</b> CFR(s): 493.1236(a)</p> <p>The laboratory must review and evaluate the results obtained on proficiency testing performed as specified in subpart H of this part.</p> <p>This STANDARD is not met as evidenced by: Based on review of laboratory policy, review of American Proficiency Institute (API) reports, and confirmed in staff interview, the laboratory failed to provide evidence of corrective action for 2 of 32 proficiency testing (PT) events that were evaluated as unacceptable by the PT provider. Findings included: Review of the laboratory's policy titled, "Proficiency Testing," implemented on 01/30/2006 and last revised on 12/29/2023, revealed "b) If performance is marginal or unacceptable, perform investigation and implement corrective/preventive action in coordination with the appropriate laboratory personnel. Initiate and complete the "Proficiency Testing Corrective Action" form." Review of an API report titled, "Comparative Evaluation 2022 Chemistry - Core - 1st Event," revealed the sodium for sample "CH-04" was graded as unacceptable. Review of an API report titled, "Proficiency Testing Performance Evaluation 2022 Chemistry - Core - 1st Event," signed by the Laboratory Director or designee on 03/16/2022, failed to reveal notation of the failed analyte/sample on the performance evaluation page or a proficiency corrective action form. Review of an API report titled, "Comparative Evaluation 2022 Chemistry - Core - 2nd Event," revealed the glucose for sample "CH-10" was graded as unacceptable. Review of an API report titled, "Proficiency Testing Performance Evaluation 2022 Chemistry - Core - 2nd Event," signed by the Laboratory Director or designee on 08/08/2022, failed to reveal notation of the failed analyte/sample on the performance evaluation</p>

page or a proficiency corrective action form. During an interview on 03/20/2024 at 8:45 AM, Technical Supervisor (TS) #2 confirmed the above findings.

**D5215**

**EVALUATION OF PROFICIENCY TESTING PERFORMANCE**

CFR(s): 493.1236(b)(2)

The laboratory must verify the accuracy of any analyte, specialty or subspecialty assigned a proficiency testing score that does not reflect laboratory test performance (that is, when the proficiency testing program does not obtain the agreement required for scoring as specified in subpart I of this part, or the laboratory receives a zero score for nonparticipation, or late return or results).

This STANDARD is not met as evidenced by:

Based on review of laboratory policy, review of American Proficiency Institute (API) reports, and confirmed in staff interview, the laboratory failed to provide evidence of a self-evaluation for 2 of 32 proficiency testing (PT) events that were returned as not graded by the PT provider. Findings included: Review of the laboratory's policy titled, "Proficiency Testing," implemented on 01/30/2006 and last revised on 12/29/2023, revealed "2. Ungraded PT challenges are reviewed using the participant summary, and corrective action is initiated for those incorrectly evaluated by testing personnel." Review of an API graded report for the 2023 Chemistry Core 1st Event revealed the alanine transaminase (ALT) for the sample "CH-01" was marked as not graded. Review of an API report titled, "Proficiency Testing Performance Evaluation 2023 Chemistry - Core - 1st Event," signed by the Laboratory Director or designee on 02/24/2023, failed to reveal notation of the ungraded analyte/sample on the performance evaluation page or a participant summary sheet. Review of an API report titled, "Comparative Evaluation 2022 Hematology / Coagulation - 3rd Event," revealed the blood cell identification for sample "BCI-14" was marked as not graded. Review of an API report titled, "Proficiency Testing Performance Evaluation 2022 Hematology / Coagulation - 3rd Event," failed to reveal notation of the ungraded analyte/sample on the performance evaluation page or a participant summary sheet. During an interview on 03/20/2024 at 8:45 AM, Technical Supervisor (TS) #2 confirmed the above findings.