

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 18D0929179	(X3) Date Survey Completed 03/09/2023
Name of Provider or Supplier Mountain After Hours Clinic	Street Address, City, State 1908 North Main St Ste 120, Hazard, KY	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D0000	A recertification survey was conducted on 03/09/2023 and the laboratory was found not to be in substantial compliance with the laboratory requirements at 42 CFR Part 493, with deficiencies cited.
D5211	<p>EVALUATION OF PROFICIENCY TESTING PERFORMANCE CFR(s): 493.1236(a)</p> <p>The laboratory must review and evaluate the results obtained on proficiency testing performed as specified in subpart H of this part.</p> <p>This STANDARD is not met as evidenced by: Based on record review and interview, it was determined the laboratory failed to review and evaluate the results of the American Proficiency Institute (API) proficiency test (PT) in the specialty of Hematology/Coagulation for two (2) of three (3) events in 2022. The findings include: A review of PT records for the specialty of Hematology/Coagulation included attestation statements dated 07/27/2021 for Event Two (2) and 03/21/2022 for Event One (1). The attestation forms did not indicate a signature of the Laboratory Director (LD) or designee to indicate the PT samples were tested in the same manner as the patient specimens. During an interview on 03/09/2023 at 3:33 PM, Testing Personnel (TP) #1 stated the LD failed to review and sign the PT attestation statements for the specialty of Hematology/Coagulation for the 2021, Event Two (2), and the 2022, Event One (1).</p>
D6046	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(8)</p> <p>(b) The technical consultant is responsible for-- (b)(8) Evaluating the competency of all testing personnel and assuring that the staff maintain their competency to perform test procedures and report test results promptly, accurately and proficiently.</p>

This STANDARD is not met as evidenced by:

Based on record review and interview, it was determined the Technical Consultant (TC) failed to assess the competency of the Testing Personnel (TP) for the specialty of Hematology for 2021 and 2022. The findings include: A review of the TP records revealed competency assessments for the Abbot Cell Dyn Emerald analyzer was performed on TP #1 and TP #2 in 03/2021, 06/2021, 09/2021, and 12/2021. The competency assessments for TP #1 dated 03/2021, 06/2021, 09/2021, and 12/2021, did not include the signature of the TC. The competency assessments for TP #2 dated 03/2021, 06/2021, 09/2021, and 12/2021, did not include the signature of the TC. A review of Competency assessments completed by TP for 2022 did not include a signature of the TC. During an interview on 03/09/2023 at 2:30 PM, TP #1 stated annual competencies were not reviewed and signed by the TC for the specialty of Hematology for 2021 and 2022.