

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  18D2050027	<b>(X3) Date Survey Completed</b>  07/13/2023
<b>Name of Provider or Supplier</b>  Lake Cumberland Rheumatology, Pllc	<b>Street Address, City, State</b>  26 Oxford Way, Suite A, Somerset, KY	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D0000</b>	A Recertification Survey was initiated on 07/13/2023 and concluded on 07/13/2023. The facility was found not to be in compliance with the laboratory requirements of 42 CFR Part 493 with deficiencies cited.
<b>D5209</b>	<p><b>PERSONNEL COMPETENCY ASSESSMENT POLICIES</b> CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on document review and interview, it was determined that the laboratory did not evaluate competency for individuals who served as the clinical consultants. Findings included: Review of the "[Laboratory name] Policy and Procedure Manual Laboratory 2013" approved by the Laboratory Director and dated 08/13/2013, revealed the facility had no documentation in place for the evaluation of competency for individuals who served as the clinical consultants. Review of the "Laboratory Personnel Report (FORM CMS-209)" signed by the Laboratory Director and dated 07/11/2023, revealed two employees (Clinical Consultant #1 and Clinical Consultant #2) held the position of clinical consultant. Review of Clinical Consultant #1's and Clinical Consultant #2's personnel files revealed no evidence of an assessment of their competency as required. In an interview 07/13/2023 at 2:30 PM, the Practice Manager, Technical Supervisor/General Supervisor/Testing Personnel (TP) #3 and TP #4, revealed the staff were unaware that competency assessments were to be performed for individuals who served as clinical consultants.</p>