Statement of Deficiencies	**(X1) Provider/Supplier/CLIA Identification Number**	**(X3) Date Survey Completed**
	19D1032343	06/17/2020
Name of Provider or Supplier	**Street Address, City, State**	
Tri-Parish Pediatrics	4937 Hearst Street Suite 2a, Metairie, LA	

For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D0000	A PT Desk Review was performed on June 17, 2020. Tri-Parish Pediatrics, CLIA ID 19D1032343, was found not in compliance with the following CONDITION LEVEL DEFICIENCIES: 42 CFR 493.803 CONDITION: Successful Participation 42 CFR 493.1403 CONDITION: Laboratories Performing Moderate Complexity Testing; Laboratory Director
D2016	SUCCESSFUL PARTICIPATION CFR(s): 493.803(a)(b)(c) (a) Each laboratory performing nonwaived testing must successfully participate in a proficiency testing program approved by CMS, if applicable, as described in subpart I of this part for each specialty, subspecialty, and analyte or test in which the laboratory is certified under CLIA. (b) Except as specified in paragraph (c) of this section, if a laboratory fails to participate successfully in proficiency testing for a given specialty, subspecialty, analyte or test, as defined in this section, or fails to take remedial action when an individual fails gynecologic cytology, CMS imposes sanctions, as specified in subpart R of this part. (c) If a laboratory fails to perform successfully in a CMS-approved proficiency testing program, for the initial unsuccessful performance, CMS may direct the laboratory to undertake training of its personnel or to obtain technical assistance, or both, rather than imposing alternative or principle sanctions except when one or more of the following conditions exists: (1) There is immediate jeopardy to patient health and safety. (2) The laboratory fails to provide CMS or a CMS agent with satisfactory evidence that it has taken steps to correct the problem identified by the unsuccessful proficiency testing performance. (3) The laboratory has a poor compliance history. This CONDITION is not met as evidenced by: Based on review of proficiency testing (PT) results from the CASPER 155D report, the laboratory failed to successfully participate in proficiency testing for Hematology. Findings: 1. The laboratory failed to participate in Event 3 of 2019 for the

	Hematology specialty resulting in an unsatisfactory performance and score of zero for the following analytes: White Blood Cell Differential (WBC Diff), Hematocrit (HCT), Hemoglobin (HGB), White Blood Cell (WBC), and Platelets. Refer to D2123. 2. The laboratory failed to achieve a score of at least 80% for White Blood Cells (WBC) in two consecutive events, resulting in an initial unsuccessful performance. Refer to D2130.
D2123	HEMATOLOGY CFR(s): 493.851(c) Failure to participate in a testing event is unsatisfactory performance and results in a score of 0 for the testing event. Consideration may be given to those laboratories failing to participate in a testing event only if-- (1) Patient testing was suspended during the time frame allotted for testing and reporting proficiency testing results; (2) The laboratory notifies the inspecting agency and the proficiency testing program within the time frame for submitting proficiency testing results of the suspension of patient testing and the circumstances associated with failure to perform tests on proficiency testing samples; and (3) The laboratory participated in the previous two proficiency testing events. This STANDARD is not met as evidenced by: Based on review of proficiency testing (PT) results from the CASPER 155D report, the laboratory failed to participate in Event 3 of 2019 for the Hematology specialty resulting in an unsatisfactory performance and score of zero for the following analytes: White Blood Cell Differential (WBC Diff), Hematocrit (HCT), Hemoglobin (HGB), White Blood Cell (WBC), and Platelets. Findings: 1. Review of the CASPER 155D report for proficiency testing results revealed the laboratory received the following unsatisfactory scores for Event 3 of 2019 for Hematology: WBC Diff: 0% HCT: 0% HGB: 0% WBC: 0% Platelets: 0%
D2130	HEMATOLOGY CFR(s): 493.851(f) Failure to achieve satisfactory performance for the same analyte in two consecutive events or two out of three consecutive testing events is unsuccessful performance. This STANDARD is not met as evidenced by: Based on review of proficiency testing (PT) results from the CASPER 155D report, the laboratory failed to achieve a score of at least 80% for White Blood Cells (WBC) in two consecutive events, resulting in an initial unsuccessful performance. Findings: 1. Review of the CASPER 155D report for PT results revealed the laboratory received the following scores for WBC resulting in an initial unsuccessful performance: a) 2019 Event 2: 60% b) 2019 Event 3: 0%
D6000	MODERATE COMPLEXITY LABORATORY DIRECTOR CFR(s): 493.1403 The laboratory must have a director who meets the qualification requirements of 493. 1405 of this subpart and provides overall management and direction in accordance with 493.1407 of this subpart.

	This CONDITION is not met as evidenced by: Based on record review, the Laboratory Director failed to provide overall management and direction for the laboratory. Refer to D6016.
D6016	LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(4)(i) The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(4)(i) Ensure that the proficiency testing samples are tested as required under Subpart H of this part; This STANDARD is not met as evidenced by: Based on record review, the Laboratory Director failed to ensure that proficiency testing results are satisfactory as required. Findings: 1. The laboratory failed to participate in Event 3 of 2019 for the Hematology specialty resulting in an unsatisfactory performance and score of zero for the following analytes: White Blood Cell Differential (WBC Diff), Hematocrit (HCT), Hemoglobin (HGB), White Blood Cell (WBC), and Platelets. Refer to D2123. 2. The laboratory failed to achieve a score of at least 80% for White Blood Cells (WBC) in two consecutive events, resulting in an initial unsuccessful performance. Refer to D2130.