

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 19D2021915	(X3) Date Survey Completed 11/07/2018
Name of Provider or Supplier Lab Trust, Llc	Street Address, City, State 400 River Highlands Blvd, Suite 10, Covington, LA	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D0000	A Complaint Survey # LA00049880 was performed on November 7, 2018 at Lab Trust, LLC-CLIA ID # 19D2021915. The laboratory was found in compliance with 42 CFR 493 Requirement for Laboratories; however, standard deficiencies were cited.
D5209	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on record review and interview with personnel, the laboratory failed to ensure written policies and procedures to assess competency for the Technical Supervisors and General Supervisor were complete. Findings: 1. Review of the laboratory's CMS-209 form (Laboratory Personnel Report) revealed the following: a) Personnel 2 and Personnel 3 were listed as the laboratory's Technical Supervisors b) Personnel 4 listed as the General Supervisor. 2. Review of personnel records revealed competency assessments for the duties of Technical Supervisor and General Supervisor were not performed. 3. In interview on November 7, 2018 at 1:41 pm, Personnel 5 stated the laboratory discontinued the competency assessment forms for Technical Supervisor and General Supervisor. Personnel 5 and Personnel 6 stated the laboratory thought assessment for Testing Personnel duties were sufficient since Personnel 2 and Personnel 4 also serve as Testing Personnel.</p>
D6030	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(12)</p> <p>The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform</p>

test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(12) Ensure that policies and procedures are established for monitoring individuals who conduct preanalytical, analytical, and postanalytical phases of testing to assure that they are competent and maintain their competency to process specimens, perform test procedures and report test results promptly and proficiently, and whenever necessary, identify needs for remedial training or continuing education to improve skills;

This STANDARD is not met as evidenced by:

Based on record review and interview with personnel, the Laboratory Director failed to ensure policies and procedures were established for assessing personnel competency, and whenever necessary, identify needs for remedial training or continuing education to improve skills. Refer to D5209.