Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 20D1074511	(X3) Date Survey Completed 12/07/2022
Name of Provider or Supplier York Hospital Medical Services	**Street Address, City, State** 75 Us 1 Bypass, Kittery, ME	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D2016	SUCCESSFUL PARTICIPATION CFR(s): 493.803(a)(b)(c) (a) Each laboratory performing nonwaived testing must successfully participate in a proficiency testing program approved by CMS, if applicable, as described in subpart I of this part for each specialty, subspecialty, and analyte or test in which the laboratory is certified under CLIA. (b) Except as specified in paragraph (c) of this section, if a laboratory fails to participate successfully in proficiency testing for a given specialty, subspecialty, analyte or test, as defined in this section, or fails to take remedial action when an individual fails gynecologic cytology, CMS imposes sanctions, as specified in subpart R of this part. (c) If a laboratory fails to perform successfully in a CMS-approved proficiency testing program, for the initial unsuccessful performance, CMS may direct the laboratory to undertake training of its personnel or to obtain technical assistance, or both, rather than imposing alternative or principle sanctions except when one or more of the following conditions exists: (1) There is immediate jeopardy to patient health and safety. (2) The laboratory fails to provide CMS or a CMS agent with satisfactory evidence that it has taken steps to correct the problem identified by the unsuccessful proficiency testing performance. (3) The laboratory has a poor compliance history. This CONDITION is not met as evidenced by: Based on review of the proficiency testing (PT) data report (Casper Report 155D) and PT graded results confirmed by the laboratory director the laboratory failed to successfully participate for the regulated analyte Hematology. Refer to D2123.
D2123	HEMATOLOGY CFR(s): 493.851(c) Failure to participate in a testing event is unsatisfactory performance and results in a score of 0 for the testing event. Consideration may be given to those laboratories

	failing to participate in a testing event only if-- (1) Patient testing was suspended during the time frame allotted for testing and reporting proficiency testing results; (2) The laboratory notifies the inspecting agency and the proficiency testing program within the time frame for submitting proficiency testing results of the suspension of patient testing and the circumstances associated with failure to perform tests on proficiency testing samples; and (3) The laboratory participated in the previous two proficiency testing events. This STANDARD is not met as evidenced by: Based on surveyor review of the CASPER Proficiency Testing (PT) data report 155, CASPER Excused Nonparticipation Report 157, lack of PT records and documentation, and staff interviews, the laboratory failed to participate in a PT program under the specialty of Hematology. Findings include: 1. Record review on 12 /6/2022 of the CASPER 155 report revealed PT scores of 0% for "CELL I.D. OR WBC DIFF" for the following: a. Event 2 2022 0* b. Event 3 2022 0* 3. Staff interview with the laboratory director (LD) on 12/6/2022 at 9:00 AM confirmed the laboratory failed to submit results in PT for 2022 Event 2 and 2022 Event 3 for "CELL I.D. OR WBC DIFF". 4. The laboratory performs 3,678 tests annually in the specialty of hematology.