

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  23D0381425	<b>(X3) Date Survey Completed</b>  10/26/2021
<b>Name of Provider or Supplier</b>  Northwestern Michigan Dermatology	<b>Street Address, City, State</b>  550 Munson Ave, Suite 200, Traverse City, MI	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D5209</b>	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by:</p> <p>. Based on record review and interview with the Laboratory Director (LD), the laboratory failed to assess the competency of testing personnel performing potassium hydroxide (KOH) and Scabies preparations for 2 (Testing Personnel #2 and #4) of 4 testing personnel listed on the CMS-209 form. Findings include: 1. A review of the laboratory's competency assessment records revealed a lack of documentation of competency assessments for Testing Personnel #2 and #4 performing KOH and Scabies preparations in 2020. 2. A review of the laboratory's "KOH Quality Control" policy revealed a section stating, "Other NWMD testing personnel will undergo periodic peer review by the Laboratory Director. 1% of annual KOH tests performed by each testing personnel will be reviewed and the results recorded in the 'KOH Log'. Competency assessment will include review of patient information, recording of results as well as interpretation of results. Annual documented review of the KOH log will ensure the above competency guidelines for each laboratory testing personnel will be performed." 3. An interview on 10/26/21 at 11:17 am with the LD confirmed Testing Personnel #2 and #4 did not have competency assessments performed in 2020 for KOH and Scabies testing.</p>