

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 23D0948005	(X3) Date Survey Completed 05/19/2022
Name of Provider or Supplier Southwest Michigan Dermatology	Street Address, City, State 3000 Old Centre Road, Portage, MI	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5787	<p>TEST RECORDS CFR(s): 493.1283(a)</p> <p>The laboratory must maintain an information or record system that includes the following: (a)(1) The positive identification of the specimen. (a)(2) The date and time of specimen receipt into the laboratory. (a)(3) The condition and disposition of specimens that do not meet the laboratory's criteria for specimen acceptability. (a)(4) The records and dates of all specimen testing, including the identity of the personnel who performed the test(s).</p> <p>This STANDARD is not met as evidenced by: . Based on record review and interview with the Office Manager (OM) and the Mohs' Technician (MT), the laboratory failed to maintain a record system that included the identity of the surgeon performing the Mohs' surgery was not recorded on the Mohs' map for 1 (21-604) of 15 cases reviewed. Findings include: 1. A record review for 1 (21-604) of 15 Mohs' cases reviewed, the identity of the Mohs' surgeon was not recorded on the Mohs' map. 2. A interview on 5/19/2022 at 11:51, the OM and MT confirmed by a second look into the electronic medical records system for a signed copy of the final Mohs' map and it was not available. ***Repeat Deficiency from the 12/10/2020 survey ***</p>
D5801	<p>TEST REPORT CFR(s): 493.1291(a)</p> <p>The laboratory must have an adequate manual or electronic system(s) in place to ensure test results and other patient-specific data are accurately and reliably sent from the point of data entry (whether interfaced or entered manually) to final report destination, in a timely manner. This includes the following: (a)(1) Results reported from calculated data. (a)(2) Results and patient-specific data electronically reported to network or interfaced systems. (a)(3) Manually transcribed or electronically</p>

transmitted results and patient-specific information reported directly or upon receipt from outside referral laboratories, satellite or point-of-care testing locations.

This STANDARD is not met as evidenced by:

. Based on document review and interview with the Office Manager (OM) and the Mohs' Technician (MT), the laboratory failed to establish a system to ensure the transcribed Mohs' surgery site were accurately reported for 9 of 15 Mohs' cases reviewed. Findings include: 1. Record review revealed for 9 of 15 Mohs' cases, the surgical site on the pre-op biopsy report, the Mohs' map, and the Mohs' log did not match the location on the final report in the electronic medical record (EMR) system as follows: a. 21-152 performed on 2/22/2021 i. Pre-op biopsy report - left central forehead ii. Mohs' map and Mohs' log - left forehead iii. EMR report - left lateral forehead b. 21-219 performed on 3/10/2021 i. Pre-op biopsy report, Mohs' map, and Mohs' log - right ear ii. EMR report - right posterior ear c. 21-302 performed on 4/13/2021 i. Pre-op biopsy report, Mohs' map, and the Mohs' log - left cheek ii. EMR report - left eyelid d. 21-379 performed on 5/05/2021 i. Pre-op biopsy report and Mohs' map - right central forehead ii. Mohs' log - right forehead iii. EMR report - right temple e. 21-703 performed on 8/17/2021 i. Pre-op biopsy report and Mohs' map - right central temple ii. Mohs' log and EMR report - right temple f. 21-778 performed on 9/07/2021 i. Pre-op biopsy report, Mohs' map, and Mohs' log - epigastric skin ii. EMR report - chest g. 21-1231 performed on 12/29/2021 i. Pre-op biopsy report, Mohs' map, and Mohs' log - left lip ii. EMR report - left lower cutaneous lip h. 22-022 performed on 1/05/2022 i. Pre-op biopsy report - right superior central malar cheek ii. Mohs' map and Mohs' log - right malar cheek iii. EMR report - right superior medial malar cheek i. 22-479 performed on 5/04/2022 i. Pre-op biopsy report, Mohs' map, and Mohs' log - left inferior preauricular cheek ii. EMR report - left mid preauricular cheek 2. An interview on 5/19/2022 at 11:45 am, the OM and MT confirmed the locations on the pre-op biopsy report, Mohs' map, Mohs' log, and the final EMR report were not consistent with the original tumor site.