

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 24D0405602	(X3) Date Survey Completed 02/28/2018
Name of Provider or Supplier Olivia Hospital & Clinic	Street Address, City, State 100 Healthy Way, Olivia, MN	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D2016	<p>SUCCESSFUL PARTICIPATION CFR(s): 493.803(a)(b)(c)</p> <p>(a) Each laboratory performing nonwaived testing must successfully participate in a proficiency testing program approved by CMS, if applicable, as described in subpart I of this part for each specialty, subspecialty, and analyte or test in which the laboratory is certified under CLIA. (b) Except as specified in paragraph (c) of this section, if a laboratory fails to participate successfully in proficiency testing for a given specialty, subspecialty, analyte or test, as defined in this section, or fails to take remedial action when an individual fails gynecologic cytology, CMS imposes sanctions, as specified in subpart R of this part. (c) If a laboratory fails to perform successfully in a CMS-approved proficiency testing program, for the initial unsuccessful performance, CMS may direct the laboratory to undertake training of its personnel or to obtain technical assistance, or both, rather than imposing alternative or principle sanctions except when one or more of the following conditions exists: (1) There is immediate jeopardy to patient health and safety. (2) The laboratory fails to provide CMS or a CMS agent with satisfactory evidence that it has taken steps to correct the problem identified by the unsuccessful proficiency testing performance. (3) The laboratory has a poor compliance history.</p> <p>This CONDITION is not met as evidenced by: . Based on a review of proficiency testing reports from the American Proficiency Institute, the laboratory failed to successfully participate in proficiency testing for Compatibility Testing under the specialty of Immunohematology. Findings are as follows: D2173 - the laboratory failed to obtain a PT score for Compatibility Testing of at least 100 percent in two testing events D2178 - the laboratory failed to participate in the 3rd Immunohematology testing event in 2017 D2181 - the laboratory failed to achieve satisfactory performance for Compatibility Testing in two out of three consecutive testing events</p>

<p>D2173</p>	<p>COMPATIBILITY TESTING CFR(s): 493.863(a)</p> <p>Failure to attain an overall testing event score of at least 100 percent is unsatisfactory performance.</p> <p>This STANDARD is not met as evidenced by: . Based on a review of proficiency testing (PT) reports from the American Proficiency Institute (API), the laboratory failed to obtain a PT score in Compatibility Testing of at least 100 percent which resulted in unsatisfactory performance. Unsatisfactory PT performance of Compatibility Testing was obtained in the following events. -2017 3rd event 0% -2017 1st event 60%</p>
<p>D2178</p>	<p>COMPATIBILITY TESTING CFR(s): 493.863(c)</p> <p>Failure to return proficiency testing results to the proficiency testing program within the time frame specified by the program is unsatisfactory performance and results in a score of 0 for the testing event.</p> <p>This STANDARD is not met as evidenced by: . Based on a review of proficiency testing (PT) reports from the American Proficiency Institute (API) and email communication with the General Supervisor (GS), the laboratory failed to participate in the 3rd Immunohematology testing event in 2017 which resulted in a score of 0 for all analytes. Findings are as follows: 1. Review of PT results from API revealed that the laboratory failed to participate in the 3rd Immunohematology testing event of 2017. 2. In an email communication on 02/28/18 at 9:47 a.m, the GS confirmed that laboratory failed to submit the results on-time for this event and indicated they had self-graded their obtained results and would have received a score of 100%.</p>
<p>D2181</p>	<p>COMPATIBILITY TESTING CFR(s): 493.863(e)</p> <p>Failure to achieve an overall testing event score of satisfactory for two consecutive testing events or two out of three consecutive testing events is unsuccessful performance.</p> <p>This STANDARD is not met as evidenced by: . Based on proficiency testing reports from the American Proficiency Institute (API), the laboratory failed to achieve satisfactory results in Compatibility Testing in two out of three consecutive testing events, constituting unsuccessful performance for the analyte. API results indicated the laboratory had obtained unsatisfactory results for Compatibility Testing in two out of three consecutive events, leading to unsuccessful performance. Unsatisfactory PT performance of Compatibility Testing was obtained in the following events. -2017 3rd event 0% -2017 1st event 60%</p>
<p>D6076</p>	<p>LABORATORY DIRECTOR CFR(s): 493.1441</p>

The laboratory must have a director who meets the qualification requirements of 493.1443 of this subpart and provides overall management and direction in accordance with 493.1445 of this subpart.

This CONDITION is not met as evidenced by:

. Based on a review of proficiency testing reports from the American Proficiency Institute and email communication with the General Supervisor, the Laboratory Director failed to provide overall management and direction in accordance with 493.1455 of this subpart. Findings are as follows: The Laboratory Director failed to ensure PT results were returned to the PT provider within the established timeframe. See D6090

D6090

LABORATORY DIRECTOR RESPONSIBILITIES

CFR(s): 493.1445(e)(4)(ii)

The laboratory director must ensure the results are returned within the timeframes established by the proficiency testing program.

This STANDARD is not met as evidenced by:

. Based on a review of proficiency testing (PT) reports from the American Proficiency Institute (API) and email communication with the General Supervisor (GS), the Laboratory Director failed to ensure PT results were returned to API within the established timeframe. Findings are as follows: 1. The laboratory failed to return their 2017 3rd event Immunohematology PT results to API prior to the submission deadline. 2. In an email communication on 02/28/18 at 9:47 a.m, the GS confirmed that laboratory failed to submit the results on-time for this event and indicated they had self-graded their obtained results and would have received a score of 100%.