

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 26D0875386	(X3) Date Survey Completed 02/04/2021
Name of Provider or Supplier Moberly Correctional Center	Street Address, City, State 5201 South Morley, Moberly, MO	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5221	<p>EVALUATION OF PROFICIENCY TESTING PERFORMANCE CFR(s): 493.1236(d)</p> <p>All proficiency testing evaluation and verification activities must be documented.</p> <p>This STANDARD is not met as evidenced by: Based on review of troponin proficiency testing (PT) for 2020, lack of evaluation activities and interview with the laboratory manager, the laboratory failed to ensure it evaluated unacceptable results and initiate corrective action. The laboratory obtained unacceptable results for two of five PT specimens for the second event of 2020. Findings: 1. Review of the second PT event of 2020 showed the laboratory obtained unacceptable troponin results for specimen CM-06 and specimen CM-07. 2. The laboratory did not have documentation to show the laboratory evaluated the unacceptable results and initiate corrective action. 3. Interview with the laboratory manager on January 27, 2021 at 2:00 PM confirmed the laboratory failed to document evaluation of proficiency testing for the second event of 2020.</p>
D6020	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(5)</p> <p>The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(5) Ensure that the quality control program is established and maintained to assure the quality of laboratory services provided.</p> <p>This STANDARD is not met as evidenced by: Based on review of quality control (QC) records, the QC program, patient records and</p>

interview with the laboratory manager, the laboratory director failed to maintain the QC program for monthly testing of positive and negative external QC materials for troponin testing. The laboratory did not perform external QC for two of twelve months during 2020. Findings: 1. Review of QC records for 2020 showed the laboratory performed positive and negative QC materials for troponin testing on July 7, 2020 and not again until September 2, 2020. The laboratory performed positive and negative QC materials for troponin as previously stated on September 2, 2020 and not again until November 8, 2020. 2. Review of the QC program shows the technical consultant or laboratory director must verify external positive and negative QC materials are performed each month. 3. Review of patient records showed the laboratory performed 76 troponin tests between July 7, 2020 and November 8, 2020. 4. Interview with the laboratory manager on January 27, 2021 at 2:00 PM confirmed the laboratory director failed to ensure positive and negative external QC materials were performed monthly.

D6046

TECHNICAL CONSULTANT RESPONSIBILITIES
CFR(s): 493.1413(b)(8)

(b) The technical consultant is responsible for-- (b)(8) Evaluating the competency of all testing personnel and assuring that the staff maintain their competency to perform test procedures and report test results promptly, accurately and proficiently.

This STANDARD is not met as evidenced by:
Based on review of competency/performance evaluations and interview with the laboratory manager, the technical consultant failed to evaluate and document the competency for sixteen of sixteen testing personnel performing moderate complexity troponin testing for 2019 and 2020. Findings: 1. Review of competency/performance evaluations revealed no documentation to show the technical consultant evaluated and documented the competency of sixteen testing personnel performing moderate complexity troponin testing. 2. Interview with laboratory manager on January 27, 2021 confirmed the technical consultant failed to evaluate and document the competency of all testing personal for 2019 and 2020.