

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 26D0902321	(X3) Date Survey Completed 02/07/2023
Name of Provider or Supplier Western Reception Diagnostic Correctional Center	Street Address, City, State 3401 Faraon Street, Saint Joseph, MO	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D6018	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1407(e)(4)(iii)</p> <p>The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(4)(iii) Ensure that all proficiency testing reports received are reviewed by the appropriate staff to evaluate the laboratory's performance and to identify any problems that require corrective action;</p> <p>This STANDARD is not met as evidenced by: Based on review of proficiency testing (PT) records for 2021, 2022 and 2023 and interview with testing personnel (TP) #3, the lab director (LD) failed to ensure that all proficiency testing reports received are reviewed by the appropriate staff to evaluate the laboratory's performance and to identify any problems that require corrective actions on the 2021 core chemistry second event. Findings: 1. Review of PT records showed the LD failed to review results for the 2021 core chemistry second PT event. 2. Interview with TP #3 on February 7, 2023 at 10:00 AM confirmed that the LD failed to ensure review for the 2021 core chemistry second PT event.</p>
D6053	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(9)</p> <p>The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least semiannually during the first year the individual tests patient specimens.</p> <p>This STANDARD is not met as evidenced by:</p>

Based on review of competency records for 2021 and 2022 and interview with testing personnel (TP) #3, the technical consultant (TC) failed to evaluate and document the performance of individuals for moderate complexity testing semiannually for 3 of 7 testing personnel. Findings: 1. Review of competency records showed the TC failed to provide documentation of semi-annual competencies for TP #18, TP #20, and TP #25. 2. Interview with TP #3 on February 7, 2023 at 10:00 AM confirmed the TC failed to evaluate and document the semiannual competencies for 3 moderate complexity testing personnel.

D6054

TECHNICAL CONSULTANT RESPONSIBILITIES
CFR(s): 493.1413(b)(9)

The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least annually, after the first year.

This STANDARD is not met as evidenced by:
Based on review of competency records for 2021 and 2022 and interview with testing personnel (TP) #3, the technical consultant (TC) failed to evaluate and document the performance on individuals responsible for moderate complexity testing annually in 2021 for 4 of 16 testing personnel. Findings: 1. Review of competency records showed the TC failed to provide annual competencies for TP #11, TP #14, TP #16, and TP #21. 2. Interview with TP #3 on February 7, 2023 at 10:00 AM confirmed the TC failed to evaluate and document annual competencies for individuals performing moderate complexity testing in 2021.