

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b> 32D1073594	<b>(X3) Date Survey Completed</b> 11/29/2022
<b>Name of Provider or Supplier</b> Dermatology & Skin Cancer Center Of Nm	<b>Street Address, City, State</b> 5120 Masthead St Ne, Albuquerque, NM	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D0000</b>	Based upon the onsite recertification survey conducted on 11/29/2022, this facility was found NOT to be in compliance with the CLIA regulations found at 42 CFR for the specialties/subspecialties in which it was surveyed. 42 CFR Part 493.1459 Condition: Laboratories performing high complexity testing; general supervisor
<b>D6141</b>	<p>GENERAL SUPERVISOR CFR(s): 493.1459</p> <p>The laboratory must have one or more general supervisors who are qualified under 493.1461 of this subpart to provide general supervision in accordance with 493.1463 of this subpart.</p> <p>This CONDITION is not met as evidenced by: Based on the review of the CMS (Centers for Medicare &amp; Medicaid Services) Personnel Report Form 209, personnel credentials, and interview with laboratory staff, the laboratory failed to ensure the general supervisor met the qualification requirements to function as a general supervisor of a histopathology laboratory. Refer to D6143.</p>
<b>D6143</b>	<p>GENERAL SUPERVISOR QUALIFICATIONS CFR(s): 493.1461</p> <p>(a) The general supervisor must possess a current license issued by the State in which the laboratory is located, if such licensing is required; and (b) The general supervisor must be qualified as a-- (b)(1) Laboratory director under 493.1443; or (b)(2) Technical supervisor under 493.1449. (c) If the requirements of paragraph (b)(1) or paragraph (b)(2) of this section are not met, the individual functioning as the general supervisor must-- (c)(1)(i) Be a doctor of medicine, doctor of osteopathy, or doctor of podiatric medicine licensed to practice medicine, osteopathy, or podiatry in the State in which the laboratory is located or have earned a doctoral, master's, or bachelor's</p>

degree in a chemical, physical, biological or clinical laboratory science, or medical technology from an accredited institution; and (c)(1)(ii) Have at least 1 year of laboratory training or experience, or both, in high complexity testing; or (c)(2)(i) Qualify as testing personnel under 493.1489(b)(2); and (c)(2)(ii) Have at least 2 years of laboratory training or experience, or both, in high complexity testing; or (c)(3)(i) Except as specified in paragraph (3)(ii) of this section, have previously qualified as a general supervisor under 493.1462 on or before February 28, 1992. (c)(3)(ii) Exception. An individual who achieved a satisfactory grade in a proficiency examination for technologist given by HHS between March 1, 1986 and December 31, 1987, qualifies as a general supervisor if he or she meets the requirements of 493.1462 on or before January 1, 1994. (c)(4) On or before September 1, 1992, have served as a general supervisor of high complexity testing and as of April 24, 1995-- (c)(4)(i) Meet one of the following requirements: (c)(4)(i)(A) Have graduated from a medical laboratory or clinical laboratory training program approved or accredited by the Accrediting Bureau of Health Education Schools (ABHES), the Commission on Allied Health Education Accreditation (CAHEA), or other organization approved by HHS. (c)(4)(i)(B) Be a high school graduate or equivalent and have successfully completed an official U.S. military medical laboratory procedures course of at least 50 weeks duration and have held the military enlisted occupational specialty of Medical Laboratory Specialist (Laboratory Technician). (c)(4)(ii) Have at least 2 years of clinical laboratory training, or experience, or both, in high complexity testing; or (c)(5) On or before September 1, 1992, have served as a general supervisor of high complexity testing and-- (c)(5)(i) Be a high school graduate or equivalent; and (c)(5)(ii) Have had at least 10 years of laboratory training or experience, or both, in high complexity testing, including at least 6 years of supervisory experience between September 1, 1982 and September 1, 1992. (d) For blood gas analysis, the individual providing general supervision must-- (d)(1) Be qualified under 493.1461(b)(1) or (2), or 493.1461(c); or (d)(2)(i) Have earned a bachelor's degree in respiratory therapy or cardiovascular technology from an accredited institution; and (d)(2)(ii) Have at least one year of laboratory training or experience, or both, in blood gas analysis; or (d)(3)(i) Have earned an associate degree related to pulmonary function from an accredited institution; and (d)(3)(ii) Have at least two years of training or experience, or both in blood gas analysis. (e) The general supervisor requirement is met in histopathology, oral pathology, dermatopathology, and ophthalmic pathology because all tests and examinations, must be performed: (e)(1) In histopathology, by an individual who is qualified as a technical supervisor under 493.1449(b) or 493.1449(l)(1); (e)(2) In dermatopathology, by an individual who is qualified as a technical supervisor under 493.1449(b) or 493.1449(l) or (2); (e)(3) In ophthalmic pathology, by an individual who is qualified as a technical supervisor under 493.1449(b) or 493.1449(l)(3); and (e)(4) In oral pathology, by an individual who is qualified as a technical supervisor under 493.1449(b) or 493.1449(m).

This STANDARD is not met as evidenced by:

Based on the review of the CMS (Centers for Medicare & Medicaid Services) Personnel Report Form 209, personnel credentials, and interview with the President of Operations, the laboratory failed to ensure the general supervisor (GS) met the qualification requirements to function as a general supervisor of a histopathology laboratory. Findings included: 1. Review of the CMS (Centers for Medicare & Medicaid Services) Personnel Report Form 209 identified one general supervisor (GS) in the specialty of histopathology. 2. Review of the personnel credentials, college /university transcripts, and training records provided during survey and via email on 12 /02/2022, revealed that the general supervisor (GS) is not a State Licensed Physician

and cannot qualify as a Technical Supervisor (TS), which is necessary to qualify as a General Supervisor (GS) of a high complexity, histopathology laboratory. 3. During an interview on 11/29/22 at 1:45 pm, the President of Operations and the GS were not able to provide a college diploma and stated that the college transcripts will be sent via email by end of day, Friday December 2, 2022.