

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  33D0129928	<b>(X3) Date Survey Completed</b>  11/26/2022
<b>Name of Provider or Supplier</b>  Barry J Klyde Md Pc	<b>Street Address, City, State</b>  520 East 72nd Street, L-0, New York, NY	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D2016</b>	<p>SUCCESSFUL PARTICIPATION CFR(s): 493.803(a)(b)(c)</p> <p>(a) Each laboratory performing nonwaived testing must successfully participate in a proficiency testing program approved by CMS, if applicable, as described in subpart I of this part for each specialty, subspecialty, and analyte or test in which the laboratory is certified under CLIA. (b) Except as specified in paragraph (c) of this section, if a laboratory fails to participate successfully in proficiency testing for a given specialty, subspecialty, analyte or test, as defined in this section, or fails to take remedial action when an individual fails gynecologic cytology, CMS imposes sanctions, as specified in subpart R of this part. (c) If a laboratory fails to perform successfully in a CMS-approved proficiency testing program, for the initial unsuccessful performance, CMS may direct the laboratory to undertake training of its personnel or to obtain technical assistance, or both, rather than imposing alternative or principle sanctions except when one or more of the following conditions exists: (1) There is immediate jeopardy to patient health and safety. (2) The laboratory fails to provide CMS or a CMS agent with satisfactory evidence that it has taken steps to correct the problem identified by the unsuccessful proficiency testing performance. (3) The laboratory has a poor compliance history.</p> <p>This CONDITION is not met as evidenced by: Based on Proficiency Test (PT) desk review of the Center for Medicaid &amp; Medicare Services (CMS) PT data reports and PT summary reports from American Proficiency Institute (API) PT program, the laboratory failed to participate successfully in CMS approved proficiency testing program, for the specialty Hematology and the test analyte's Cell Identification (Cell I.D.)/White Blood Cell Differential (WBC Diff.), Red Blood Cell Count (RBC), and Hematocrit (Hct). The following scores were assigned: specialty Hematology 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate) 2022 second event = 73% WBC Diff 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate)</p>

	<p>2022 second event = 40% RBC and Hct 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate) 2022 second event = 0% This is considered repeatedly unsuccessful PT performance. Refer to D2130 and D2131</p>
<b>D2130</b>	<p><b>HEMATOLOGY</b> CFR(s): 493.851(f)</p> <p>Failure to achieve satisfactory performance for the same analyte in two consecutive events or two out of three consecutive testing events is unsuccessful performance.</p> <p>This STANDARD is not met as evidenced by: Based on PT desk review of the CMS PT data reports and PT summary reports from API PT program, the laboratory failed to participate successfully in CMS approved proficiency testing program, for the test analyte's Cell I.D./WBC Diff., RBC and Hct. The following scores were assigned: RBC, WBC, Hct 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate) 2022 second event = 60% Cell I.D./WBC Diff 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate) 2022 second event = 40% This is considered repeatedly unsuccessful PT performance.</p>
<b>D2131</b>	<p><b>HEMATOLOGY</b> CFR(s): 493.851(g)</p> <p>Failure to achieve an overall testing event score of satisfactory performance for two consecutive testing events or two out of three consecutive testing events is unsuccessful performance.</p> <p>This STANDARD is not met as evidenced by: Based on PT desk review of the CMS PT data reports and PT summary reports from API PT program, the laboratory failed to participate successfully in CMS approved proficiency testing program, for the speciality Hematology. The following scores were assigned: 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate) 2022 second event = 73% This is considered repeatedly unsuccessful PT performance.</p>
<b>D6000</b>	<p><b>MODERATE COMPLEXITY LABORATORY DIRECTOR</b> CFR(s): 493.1403</p> <p>The laboratory must have a director who meets the qualification requirements of 493.1405 of this subpart and provides overall management and direction in accordance with 493.1407 of this subpart.</p> <p>This CONDITION is not met as evidenced by: Based on PT desk review of the CMS PT data reports and API PT program records, the laboratory director failed to fulfill the laboratory director's responsibilities and ensure that the laboratory achieved a satisfactory performance and successfully participate in a PT program, approved by CMS, for the speciality Hematology and the test analyte's Cell I.D./WBC Diff., RBC, Hct and Platelets. Refer to D6016.</p>
<b>D6016</b>	<p><b>LABORATORY DIRECTOR RESPONSIBILITIES</b></p>

CFR(s): 493.1407(e)(4)(i)

The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(4)(i) Ensure that the proficiency testing samples are tested as required under Subpart H of this part;

This STANDARD is not met as evidenced by:

Based on PT desk review of the CMS PT data reports and API PT program records, the laboratory director failed to fulfill the laboratory director's responsibilities and ensure that the laboratory achieved a satisfactory performance and successfully participate in a PT program, approved by CMS, for the speciality Hematology and the test analyte's Cell I.D./WBC Diff., RBC and Hct. The following scores were assigned: speciality Hematology 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate) 2022 second event= 73% Cell I.D./WBC Diff., 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate) 2022 second event= 40% RBC and Hct. 2021 third event = 0% (failure to participate) 2022 first event = 0% (failure to participate) 2022 second event= 60% This is considered repeatedly unsuccessful PT performance.