

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  33D0923353	<b>(X3) Date Survey Completed</b>  08/09/2018
<b>Name of Provider or Supplier</b>  Ocean Avenue Medical Practice Pc	<b>Street Address, City, State</b>  2700 Ocean Ave, Brooklyn, NY	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D2016</b>	<p><b>SUCCESSFUL PARTICIPATION</b> CFR(s): 493.803(a)(b)(c)</p> <p>(a) Each laboratory performing nonwaived testing must successfully participate in a proficiency testing program approved by CMS, if applicable, as described in subpart I of this part for each specialty, subspecialty, and analyte or test in which the laboratory is certified under CLIA. (b) Except as specified in paragraph (c) of this section, if a laboratory fails to participate successfully in proficiency testing for a given specialty, subspecialty, analyte or test, as defined in this section, or fails to take remedial action when an individual fails gynecologic cytology, CMS imposes sanctions, as specified in subpart R of this part. (c) If a laboratory fails to perform successfully in a CMS-approved proficiency testing program, for the initial unsuccessful performance, CMS may direct the laboratory to undertake training of its personnel or to obtain technical assistance, or both, rather than imposing alternative or principle sanctions except when one or more of the following conditions exists: (1) There is immediate jeopardy to patient health and safety. (2) The laboratory fails to provide CMS or a CMS agent with satisfactory evidence that it has taken steps to correct the problem identified by the unsuccessful proficiency testing performance. (3) The laboratory has a poor compliance history.</p> <p>This CONDITION is not met as evidenced by: Based on the surveyor's review of CAP proficiency testing ( PT) records and an interview with the technical consultant, the laboratory failed to participate and perform successfully in a PT program approved by CMS, for the test analyte Thyroid Stimulating Hormone (TSH). The following scores were assigned: 2018 first event = 60% 2018 second event = 60% This is considered unsuccessful PT performance. REFER TO: D2107</p>
<b>D2107</b>	<p><b>ENDOCRINOLOGY</b> CFR(s): 493.843(f)</p>

Failure to achieve satisfactory performance for the same analyte or test in two consecutive testing events or two out of three consecutive testing events is unsuccessful performance.

This STANDARD is not met as evidenced by:

Based on the surveyor's review of College of American Pathologists (CAP) Proficiency Testing (PT) test reports, the laboratory failed to participate and perform successfully in a PT program approved by the Centers for Medicare and Medicaid Services (CMS) for the test analyte Thyroid Stimulating Hormone (TSH). The following scores were assigned: 2018 first event = 60% 2018 second event = 60% This is considered unsuccessful PT performance.

**D6000**

**MODERATE COMPLEXITY LABORATORY DIRECTOR**  
CFR(s): 493.1403

The laboratory must have a director who meets the qualification requirements of 493.1405 of this subpart and provides overall management and direction in accordance with 493.1407 of this subpart.

This CONDITION is not met as evidenced by:

Based on the surveyor's review of proficiency testing (PT) records from the CAP PT program and confirmed with the technical consultant, the laboratory director failed to fulfill the laboratory director's responsibilities and ensure that the laboratory achieved satisfactory performance and successfully participated in a PT program, approved by CMS, for the analyte TSH. REFER TO: D6016.

**D6016**

**LABORATORY DIRECTOR RESPONSIBILITIES**  
CFR(s): 493.1407(e)(4)(i)

The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(4)(i) Ensure that the proficiency testing samples are tested as required under Subpart H of this part;

This STANDARD is not met as evidenced by:

Based on the surveyor's review of the CAP PT program records and confirmed with the technical consultant, the laboratory director failed to ensure that the laboratory successfully participated in a PT program for the test analyte Thyroid Stimulating Hormone (TSH). The following scores were assigned: 2018 first event = 60% 2018 second event = 60% This is considered unsuccessful PT performance. Refer to: D2107