

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 33D2127860	(X3) Date Survey Completed 02/16/2022
Name of Provider or Supplier Westchester Medical Group Pllc	Street Address, City, State 1084 North Broadway, Yonkers, NY	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5209	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on review of the laboratory's training & competency evaluation policy, review of the testing personnel training & competency records and an interview with the general supervisors, the laboratory director failed to follow the laboratory's establish training & competency evaluation policy for three newly hired testing personnel. FINDINGS: 1. The laboratory failed to follow the established written training & competency evaluation policy, which requires a six-month competency evaluation after training and an annual evaluation for the first year of hire. 2. The laboratory failed to perform and document the six month competency evaluation for three of the newly hired testing personnel. a. Testing person #1 (TP #1) hired 8/12/19 training completed on 12/19/19 and an annual evaluation 12/10/20; lack of documentation for six month evaluation due 6/2020. b. Testing person #2 (TP #2) hired 12/21/20 training completed on 1/28/21 and annual evaluation 12/15/21; lack of documentation for six-month evaluation due 7/2021 c. Testing person #3 (TP #3) hired 3/16/20 training completed 3/24/20; and annual evaluation 4/22/21; lack of documentation for six month evaluation due 9/2020 3. The general supervisors confirmed on February 16, 2022 at approximately 3:30 PM, that the laboratory failed to follow their written competency evaluation policy and perform the six-month evaluations for the three newly hired testing personnel.</p>
D6053	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(9)</p>

The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least semiannually during the first year the individual tests patient specimens.

This STANDARD is not met as evidenced by:

Based on surveyor's review of the laboratory's training & competency evaluation policy, review of the testing personnel training & competency evaluation records and confirmed in an interview with the general supervisors, the laboratory director and technical consultant, failed to perform the six month competency evaluation for three of the testing personnel during the first year of patient testing. Refer to D5209.