

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 34D1016567	(X3) Date Survey Completed 09/28/2021
Name of Provider or Supplier Cpn Inc Dbc Ahuc - Ballantyne	Street Address, City, State 14214 Ballantyne Lake Road, Suite 100, Charlotte, NC	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D2007	<p>TESTING OF PROFICIENCY TESTING SAMPLES CFR(s): 493.801(b)(1)</p> <p>The samples must be examined or tested with the laboratory's regular patient workload by personnel who routinely perform the testing in the laboratory, using the laboratory's routine methods</p> <p>This STANDARD is not met as evidenced by: Based on review of the CMS-209, review of 2019, 2020, and 2021 API (American Proficiency Institute) proficiency testing records, and interview with the TC (technical consultant) 9/28/21, the laboratory failed to ensure proficiency testing was rotated among personnel who routinely perform patient testing. Review of the CMS-209 Laboratory Personnel Report (CLIA) revealed 45 TP (testing personnel) were listed on the form. Review of 2019, 2020, and 2021 API proficiency testing records revealed samples for 12 of 12 proficiency testing events were tested by 2 of the 45 testing personnel - TP #1 and TP #14. a. TP #1 tested all samples for the 2019 1st and 3rd hematology events, the 2020 1st and 3rd hematology events, the 2020 3rd chemistry core event, the 2021 1st and 2nd hematology events, and the 2021 2nd and 3rd chemistry core events. b. TP #14 tested all samples for the 2019 2nd hematology event, the 2020 2nd hematology event, and the 2021 1st chemistry core event. During interview at approximately 4:10 p.m., the TC verified that only 2 of 45 TP had participated in proficiency testing. She stated that some of the TP probably participated in proficiency testing at other locations. She also stated that the proficiency testing policy is being revised to allow more TP to participate.</p>