

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  34D2136294	<b>(X3) Date Survey Completed</b>  08/13/2019
<b>Name of Provider or Supplier</b>  Novant Health Forsyth Pediatrics Union Cross	<b>Street Address, City, State</b>  1471 Jag Branch Boulevard, Suite 101, Kernersville, NC	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D6053</b>	<p><b>TECHNICAL CONSULTANT RESPONSIBILITIES</b> CFR(s): 493.1413(b)(9)</p> <p>The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least semiannually during the first year the individual tests patient specimens.</p> <p>This STANDARD is not met as evidenced by: Based on review of personnel records and interview with the TC (technical consultant) 8/13/19, the TC failed to perform and document competency evaluations for 3 of 11 testing personnel (TP #3, TP #5, TP #8) at least semiannually during the first year. Findings: 1. Review of personnel records revealed TP #3 was trained on the Medonic hematology analyzer in February 2018. Competency evaluations were documented for TP #3 in March 2019 and May 2019. 2. Review of personnel records revealed TP #5 was trained on the Medonic hematology analyzer in February 2018. Two competency evaluations were documented for TP #5 in March 2019 - one was labeled as a six month competency, and the other was labeled as an annual competency. 3. Review of personnel records revealed TP #8 was trained on the Medonic hematology analyzer in February 2018. Two competency evaluations were documented for TP #8 in March 2019 - one was labeled as a six month competency, and the other was labeled as an annual competency. During interview at approximately 3:00 p.m., the TC confirmed that semiannual (six month) competencies were performed late for TP #3, TP #5, and TP #8 by the previous TC. She stated she was unsure why the competencies were late.</p>
<b>D6063</b>	<p><b>LABORATORY TESTING PERSONNEL</b> CFR(s): 493.1421</p> <p>The laboratory must have a sufficient number of individuals who meet the</p>

qualification requirements of 493.1423, to perform the functions specified in 493.1425 for the volume and complexity of tests performed.

This CONDITION is not met as evidenced by:

Based on review of personnel records 8/13/19 and the deficiency cited at D6065, the laboratory failed to verify that 1 of 11 testing personnel (TP #4) met the minimum education requirements for performing moderate complexity testing.

**D6065**

**TESTING PERSONNEL QUALIFICATIONS**

CFR(s): 493.1423(b)(1)(2)(3)(4)(i)

(b) Meet one of the following requirements: (b)(1) Be a doctor of medicine or doctor of osteopathy licensed to practice medicine or osteopathy in the State in which the laboratory is located or have earned a doctoral, master's, or bachelor's degree in a chemical, physical, biological or clinical laboratory science, or medical technology from an accredited institution; or (b)(2) Have earned an associate degree in a chemical, physical or biological science or medical laboratory technology from an accredited institution; or (b)(3) Be a high school graduate or equivalent and have successfully completed an official military medical laboratory procedures course of at least 50 weeks duration and have held the military enlisted occupational specialty of Medical Laboratory Specialist (Laboratory Technician); or (b)(4)(i) Have earned a high school diploma or equivalent; and

This STANDARD is not met as evidenced by:

Based on review of personnel records and interview with the TC (technical consultant) 8/13/19, the laboratory failed to verify that 1 of 11 testing personnel (TP #4) met the minimum education requirements for performing moderate complexity testing. Review of personnel records revealed there were no education credentials on file for TP #4. During interview at approximately 2:20 p.m., the TC stated TP #4 had been asked to provide her high school diploma and she was unsure why it was not available.