

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  36D0877438	<b>(X3) Date Survey Completed</b>  08/20/2018
<b>Name of Provider or Supplier</b>  Gastroenterology Clinic & Endoscopy	<b>Street Address, City, State</b>  1622 E Market Street, Warren, OH	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D5209</b>	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on record review and an interview with the Laboratory Manager, the laboratory failed to establish and follow written policies and procedures to assess the competency of Clinical Consultant (CC) #1 and CC#2, Technical Supervisor (TS) and General Supervisor (GS) based on the responsibilities of their positions. Findings Include: 1. Review of the laboratory's Form CMS-209, approved, signed, and dated by the Laboratory Director on 08/20/2018, revealed two individuals listed as CC and one of those individuals also listed as a TS and GS. 2. Review of the laboratory's policies and procedures, approved via signature and date by the Laboratory Director and provided on the date of the inspection, revealed the competency assessment policy and procedure did not include the assessment of the CC, TS and GS based on the responsibilities of each of the positions. 3. The Surveyor requested the laboratory's CC, TS and GS competency assessment policies and procedures to include the assessment components, frequencies, conducted by the Laboratory Director and all of the 2017 and 2018 assessment documentation from the Laboratory Manager. The Laboratory Manager confirmed that the laboratory did not establish and follow a competency assessment policy and procedure for the assessment of the CC, TS and GS, did not assess the CC, TS and GS based on the responsibilities of each of the positions and was unable to provide the requested documentation on the date of the inspection. The interview occurred on 08/20/2018 at 2:45 PM.</p>