

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 36D0954933	(X3) Date Survey Completed 11/29/2023
Name of Provider or Supplier Springfield Urology Llc DbA Urology Specialists	Street Address, City, State 1164 East Home Road, Suite J, Springfield, OH	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5209	<p>PERSONNEL COMPETENCY ASSESSMENT POLICIES CFR(s): 493.1235</p> <p>As specified in the personnel requirements in subpart M, the laboratory must establish and follow written policies and procedures to assess employee and, if applicable, consultant competency.</p> <p>This STANDARD is not met as evidenced by: Based on record review and interviews with the Testing Personnel (TP), and the Practice Manager the laboratory failed to establish and follow written policies and procedures to assess the competency of the Clinical Consultant (CC) based on the responsibilities of the role, at a frequency determined by the laboratory. This deficient practice had the potential to affect 1,850 out of 1,850 patient tests performed between 09/22/2023 through 11/29/2023 in the speciality of Microbiology. Findings Include: 1. Review of the laboratory's policy and procedure manual, provided on the date of the inspection, failed to find competency assessment procedures for the CC based on the responsibilities of the role, at a frequency determined by the laboratory. 2. Review of the laboratory's Form CMS-209, approved by the Laboratory Director on 11/22/2023, found one individual listed and qualified by the Laboratory Director to function as a CC. 3. Review of the laboratory's competency assessment documentation failed to find a competency assessment for the CC based on the responsibilities of the role, at a frequency determined by the laboratory. 4. The Inspector requested the laboratory's policy and procedure for the competency assessment for the CC and the competency assessment documentation for the CC based on the responsibilities of the role. The TP and Practice Manager confirmed the laboratory did not establish a policy and procedure for the assessment of the CC based on the responsibilities of the position, at a frequency determined by the laboratory and was unable to provide the requested documentation on the date of the inspection. The interview occurred on 11/29/2023 at 2:18 PM.</p>