

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b> 37D2089523	<b>(X3) Date Survey Completed</b> 10/30/2019
<b>Name of Provider or Supplier</b> Utica Park Clinic-Dermatology	<b>Street Address, City, State</b> 9245 S Mingo Rd #335, Tulsa, OK	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D0000</b>	The recertification survey was performed 10/30/19. The laboratory was found in compliance with a standard-level deficiency cited. The findings were reviewed with the laboratory director at the conclusion of the survey.
<b>D3031</b>	<p><b>RETENTION REQUIREMENTS</b> CFR(s): 493.1105(a)(3)</p> <p>Analytic systems records. Retain quality control and patient test records (including instrument printouts, if applicable) and records documenting all analytic systems activities specified in 493.1252 through 493.1289 for at least 2 years.</p> <p>This STANDARD is not met as evidenced by: Based on a review of records and interview with the laboratory director, the laboratory failed to maintain quality control records for at least 2 years. Findings include: (1) At the beginning of the survey, the laboratory director verified the laboratory performed CBC (Complete Blood Count) (i.e., WBC-White Blood Count, RBC-Red Blood Count, Hemoglobin, Hematocrit, Platelet, etc.) testing on the Medonic M Series analyzer. In addition, it was verified the laboratory tested 3 levels (Low, Normal, High) of CDS Boule Con-Diff QC (Quality Control) materials each day of patient testing; (2) The surveyor reviewed QC records from 03/01/18 through the date of the survey and identified 24 lot numbers of QC materials had been utilized during the review period. The surveyor could not locate the manufacturer's assay value sheets for 3 of the 24 lot numbers. The lot numbers were: (a) Lot #2180521, Low Level-used from 06/24/18 through 09/21/18 (b) Lot #2180522, Normal Level-used from 06/24/18 through 09/21/18 (c) Lot #2180523, High Level-used from 06/24/18 through 09/21/18 (3) The surveyor asked the laboratory director if the manufacturer's assay value sheets for the QC lot numbers listed above were available for review. The laboratory director stated to the surveyor if the assay value sheets were not found in the QC records, the</p>

sheets had not been maintained; (4) The surveyor reviewed the findings with the laboratory director and explained that all QC records must be maintained for at least 2 years.