

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  37D2147408	<b>(X3) Date Survey Completed</b>  03/27/2024
<b>Name of Provider or Supplier</b>  Advanced Pathology	<b>Street Address, City, State</b>  4208 Sw Lee Blvd, Lawton, OK	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D5633</b>	<p>CYTOLOGY CFR(s): 493.1274(d)(1)</p> <p>(d) Workload limits. The laboratory must establish and follow written policies and procedures that ensure the following: (d)(1) The technical supervisor establishes a maximum workload limit for each individual who performs primary screening.</p> <p>This STANDARD is not met as evidenced by: Based on review of laboratory policies and procedures and interview with Laboratory Director/Technical Supervisor #1, the laboratory failed to establish and follow written policies and procedures to establish an individual maximum workload limit for each Technical Supervisor who performed primary examination of non-gynecologic cytology specimens. Findings include: 1. The Survey Team requested and the laboratory failed to provide written policies and procedures to detail how the Technical Supervisor would establish maximum workload limits for Technical Supervisors who performed primary examination of non-gynecologic cytology specimens. 2. During an interview on March 27, 2024 at 10:30 AM these findings were confirmed by Laboratory Director/Technical Supervisor #1.</p>
<b>D5637</b>	<p>CYTOLOGY CFR(s): 493.1274(d)(1)(ii)</p> <p>(d) Workload limits. The laboratory must establish and follow written policies and procedures that ensure the following: (d)(1)(ii) Each individual's workload limit is reassessed at least every 6 months and adjusted when necessary.</p> <p>This STANDARD is not met as evidenced by: Based on review of laboratory policies and procedures and interview with Laboratory Director/Technical Supervisor #1, the laboratory failed to establish and follow written</p>

policies and procedures to reassess and adjust when necessary, a maximum workload limit at least every six months for the Technical Supervisors who performed primary screening of non-gynecologic cytology specimens. Findings include: 1. The Survey Team requested and the laboratory failed to provide written policies and procedures to detail how the Technical Supervisor would reassess a maximum workload limit for Technical Supervisors at least every six months and adjust when necessary. 2. During an interview on March 27, 2024 at 10:30 AM these findings were confirmed by Laboratory Director/Technical Supervisor #1.

**D5641**

**CYTOLOGY**  
CFR(s): 493.1274(d)(2)(ii)

(d) Workload limits. The laboratory must establish and follow written policies and procedures that ensure the following: (d)(2)(ii) For the purposes of establishing workload limits for individuals examining slides in less than an 8-hour workday (includes full-time employees with duties other than slide examination and part-time employees), a period of 8 hours is used to prorate the number of slides that may be examined. The formula-- Number of hours examining slides X 100 / 8 is used to determine maximum slide volume to be examined;

This STANDARD is not met as evidenced by:  
Based on review of laboratory policies and procedures, lack of workload limit records and interview with the Laboratory Director/Technical Supervisor #1, the laboratory failed to establish and follow written policies and procedures to ensure that workload limits for the Technical Supervisors would be prorated when examining slides in less than an eight-hour work day. Findings include: 1. The Survey Team requested and the laboratory failed to provide written policies and procedures to prorate workload limits for the Technical Supervisors when examining slides in less than an eight-hour day, or with duties other than examining cytology specimen slides. 2. The Survey Team requested and the laboratory failed to provide documentation of prorated workload limits for two of two Technical Supervisors when examining slides in less than eight hours. Technical Supervisors include: - Laboratory Director/Technical Supervisor #1 - Technical Supervisor #2 3. During an interview on March 27, 2024 at 10:30 AM these findings were confirmed by Laboratory Director/Technical Supervisor #1.

**D6103**

**LABORATORY DIRECTOR RESPONSIBILITIES**  
CFR(s): 493.1445(e)(13)

The laboratory director must ensure that policies and procedures are established for monitoring individuals who conduct preanalytical, analytical, and postanalytical phases of testing to assure that they are competent and maintain their competency to process specimens, perform test procedures and report test results promptly and proficiently, and whenever necessary, identify needs for remedial training or continuing education to improve skills.

This STANDARD is not met as evidenced by:  
Based on review of laboratory policies and procedures and interview with Laboratory Director/Technical Supervisor #1, the Laboratory Director failed to ensure written policies and procedures were established to assess, monitor and maintain the competency of Laboratory Assistants who conducted preanalytic and postanalytic phases of cytology testing. Findings include: 1. The Survey Team requested and the

	<p>Laboratory Director failed to provide written policies and procedures to assess, monitor and maintain the competency of Laboratory Assistants who conducted preanalytic and postanalytic phases of cytology testing. a. The Survey Team requested and the Laboratory Director failed to provide documentation of competency assessments for two of two Laboratory Assistants in January through December 2023 and January 2024 to the date of the survey in 2024. . Laboratory Assistants include: - Laboratory Assistant #1 - Laboratory Assistant #2 2. During an interview on March 27, 2024 at 10:30 AM these findings were confirmed by Laboratory Director /Technical Supervisor #1.</p>
<p><b>D6130</b></p>	<p><b>TECHNICAL SUPERVISOR RESPONSIBILITIES</b>  CFR(s): 493.1451(c)(2)(3)</p> <p>(c) In cytology, the technical supervisor or the individual qualified under 493.1449(k) (2)-- (c)(2) Must establish the workload limit for each individual examining slides and (c)(3) Must reassess the workload limit for each individual examining slides at least every 6 months and adjust as necessary.</p> <p>This STANDARD is not met as evidenced by:  Based on the lack of workload limit records and interview with Laboratory Director /Technical Supervisor #1, the Technical Supervisor failed to establish and reassess a maximum workload limit for two of two Technical Supervisors in January through December 2023 and January 2024 to the date of the survey in 2024. Findings include:  1. The Technical Supervisor failed to provide documentation that the Technical Supervisor established a maximum workload limit for two of two Technical Supervisors who performed primary non-gynecologic cytology slide examinations in January through December 2023 and January 2024 to the date of the survey in 2024. Refer to D5633 and D5647 Technical Supervisors include: - Laboratory Director /Technical Supervisor #1 - Technical Supervisor #2 2. The Technical Supervisor failed to provide documentation that the Technical Supervisor reassessed a workload limit at least every six months for two of two Technical Supervisors who performed primary non-gynecologic cytology slide examinations in January through December 2023 and January 2024 to the date of the survey in 2024. Refer to D5637 and D5647 Technical Supervisors include: - Laboratory Director/Technical Supervisor #1 - Technical Supervisor #2 3. During an interview on March 27, 2024 at 10:30 AM these findings were confirmed by Laboratory Director/Technical Supervisor #1.</p>
<p><b>D9999</b></p>	<p>By agreement between ASCT Services, Inc. and CMS, information provided for CMS's completion of CMS Form 670 are ASCT Services, Inc. averages only. This information is confidential and proprietary to ASCT Services, Inc., is exempt under the Freedom of Information Act (5 U.S.C. 552 et seq.), and shall be used for federal government purposes only.</p>