

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b> 38D0715589	<b>(X3) Date Survey Completed</b> 03/22/2024
<b>Name of Provider or Supplier</b> Childhood Health Assoc Of Salem	<b>Street Address, City, State</b> 891 23rd St Ne, Salem, OR	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D5002</b>	<p><b>BACTERIOLOGY</b> CFR(s): 493.1201</p> <p>If the laboratory provides services in the subspecialty of Bacteriology, the laboratory must meet the requirements specified in 493.1230 through 493.1256, 493.1261, and 493.1281 through 493.1299.</p> <p>This CONDITION is not met as evidenced by: Based on review of the Laboratory's Microbiology records provided during on site survey, interviews with the Laboratory Director (LD) and testing personnel (TP), the laboratory failed to perform and document the Quality Control (QC) requirements for Microbiology testing. See D5471, D5477, D5507.</p>
<b>D5471</b>	<p><b>CONTROL PROCEDURES</b> CFR(s): 493.1256(e)(1)(g)</p> <p>(e) For reagent, media, and supply checks, the laboratory must do the following: (e)(i) Check each batch (prepared in-house), lot number (commercially prepared) and shipment of reagents, disks, stains, antisera, (except those specifically referenced in 493.1261 (a)(3)) and identification systems (systems using two or more substrates or two or more reagents, or a combination) when prepared or opened for positive and negative reactivity, as well as graded reactivity, if applicable. (g) The laboratory must document all control procedures performed.</p> <p>This STANDARD is not met as evidenced by: Based on review of the laboratory's records, lack of documentation, and interview with the Laboratory Director (LD) and testing personnel (TP), the laboratory failed to perform and document quality checks for the reagents and media used in Microbiology patient testing. Findings include: 1.Review of the Microbiology Quality</p>

Control (QC) procedure requires that reagents and disc's have daily or weekly QC. 2. The lab performs wound, urine and throat cultures, which require the use of Catalase, Oxidase, Bacitracin, Optichin and Indole tests to identify specific strains of bacteria as defined in the Laboratory's Microbiology procedure manual. These reagents and discs require QC to be performed when patient testing is performed. 3. A review of the Laboratory's QC records revealed the laboratory had not been performing QC on each day of use for the following reagents and discs: a. Catalase last QC performed 3/18 /2023 b. Oxidase last QC performed could not be located. c. Indole last QC performed 3/12/2023 d. Bacitracin last QC performed 3/12/2023 e. Optichin last QC performed 10/22/2022 4. A review of the MicroScan Identification system revealed the lack of weekly QC, as required in the Laboratory's Microbiology QC Control procedures. a. The last QC available for review for the biochemical reactions on both the Gram-negative and Gram-positive organism panels was performed on 11/27/2023. b. The last QC available for review for Gram negative and Gram positive organisms for minimal inhibitory concentration (MICs) or antimicrobial sensitivities was on 3/12 /2023. 5. The Microbiology QC procedure specifies two specific QC strains of bacteria to be run each day of patient testing when using the Christie, Atkins, Munch, Peterson test (CAMP) test. a. The Laboratory had no stock organisms on site the 2 days of survey. b. The last record of QC performed for the CAMP test was on 12/30 /2022. c. The laboratory had no documentation of purchase for the required American Type Culture Collection (ATCC) stock cultures to be used in gram-positive and gram-negative biochemical identification and MIC susceptibility QC testing. 6. Interview with TP # 1 on 3/14/2024, at 1030 am and again at 1230 pm, TP # 1 stated, "I have not performed QC on the MicroScan plates or media". 7. Interview by email, received from the Technical Supervisor (TS) on 3/22/2024 confirmed findings that the lab had not ordered, received, or maintained any QC organisms since March of 2023. 8. The laboratory reports performing 2289 cultures annually.

**D5477**

**CONTROL PROCEDURES**  
CFR(s): 493.1256(e)(4)(g)

(e) For reagent, media, and supply checks, the laboratory must do the following: (e) (4) Before, or concurrent with the initial use-- (e)(4)(i) Check each batch of media for sterility if sterility is required for testing; (e)(4)(ii) Check each batch of media for its ability to support growth and, as appropriate, select or inhibit specific organisms or produce a biochemical response; and (e)(4)(iii) Document the physical characteristics of the media when compromised and report any deterioration in the media to the manufacturer. (g) The laboratory must document all control procedures performed.

This STANDARD is not met as evidenced by:  
Based on a review of the laboratory's Microbiology policies and procedures and interview with testing personnel (TP # 1), the laboratory failed to ensure that all Microbiology media received the appropriate Quality Control (QC) by inspection and confirmation of growth when received. Findings include: 1. Microbiology QC procedures state that all Microbiology media and reagents will be assessed for sterility, physical condition, contamination, and any other damage, such as cracked plates upon receipt. 2. Upon request for records of media and reagents received and QC performed, the laboratory lacked documentation demonstrating the evaluation of differential media characteristics and selective media's growth and inhibitory characteristics to demonstrate the appropriate biochemical responses of certain organisms. 3. The laboratory lacked documentation of any physical assessment or lot numbers of media or reagents received, including expiration dates and QC performed.

4. TP # 1 confirmed during interview on 03/18/2024, at 11:00 a.m., that he did not perform QC on the microbiological media/reagents when new media and/or reagents were received, either before or concurrent with initial use. 5. TP # 1 also confirmed by interview on 03/18/2024, at 11:00 a.m., that he does not keep a log of the media or reagents received, lot numbers, expiration dates, or QC results. 6. The lab reports doing 2289 cultures annually.

**D5507**

**BACTERIOLOGY**  
CFR(s): 493.1261(b)(c)

(b) For antimicrobial susceptibility tests, the laboratory must check each batch of media and each lot number and shipment of antimicrobial agent(s) before, or concurrent with, initial use, using approved control organisms. (b)(1) Each day tests are performed, the laboratory must use the appropriate control organism(s) to check the procedure. (b)(2) The laboratory's zone sizes or minimum inhibitory concentration for control organisms must be within established limits before reporting patient results. (c) The laboratory must document all control procedures performed, as specified in this section.

This STANDARD is not met as evidenced by:  
Based on a review of microbiology test records, observation, and interview with testing personnel (TP#1), it was revealed that a lack of performance and documentation for Minimum Inhibitory Concentration (MIC's) quality control (QC), using the MicroScan plates for Gram-negative and Gram-positive organisms, the laboratory failed to perform QC prior to or concurrent with each day of patient testing. Findings include: 1. The laboratory's Microbiology QC procedure requires that QC be performed weekly on the MicroScan MIC plates using specific American Type Culture Collection (ATCC) strains of organisms and are to be recorded on "Form B". 2. Review of Microbiology test records involving MICs reported on patient specimens and requested MIC QC records for 2022 and 2023. the Laboratory failed to perform and document QC for MICs using the Dry MicroScan plates for Gram-negative and Gram-positive organisms after 3/12/2023. 3. By observation during a tour of the laboratory on 3/14/2024 and 3/15/2024, the Laboratory had no ATCC stock organisms on site, either on agar media plates or as lyophilized cultures. 4. The laboratory's established limits for MICs, which are required to be determined before reporting patient results, could not be determined during the survey as the last MIC QC of record was performed on 3/12/2023. 5. TP # 1 confirmed during interview on 3/18/2024, at 11:00 a.m., the lack of performing MIC QC after 3/12/2023. 6. An interview by email received from the Technical Supervisor (TS), dated 3/22/2024, confirmed that the laboratory had not ordered or received QC organisms since March 2023. 7. The laboratory reports performing 2289 cultures annually.

**D6076**

**LABORATORY DIRECTOR**  
CFR(s): 493.1441

The laboratory must have a director who meets the qualification requirements of 493.1443 of this subpart and provides overall management and direction in accordance with 493.1445 of this subpart.

This CONDITION is not met as evidenced by:  
Based on a review of Microbiology patient testing records, Quality Control (QC)

records, and interviews with the Laboratory Director (LD), and testing personnel (TP), the LD failed to provide adequate oversight of Microbiology patient testing performed, including competency of TP, Quality Control (QC) requirements and reporting for all phases of patient testing prior to reporting patient results. See D6079, D6087, D6092, D6094, D6100, and D6103.

**D6079**

**LABORATORY DIRECTOR RESPONSIBILITIES**  
CFR(s): 493.1445(a)(b)

The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, record and report test results promptly, accurately and proficiently, and for assuring compliance with the applicable regulations. (a) The laboratory director, if qualified, may perform the duties of the technical supervisor, clinical consultant, general supervisor, and testing personnel, or delegate these responsibilities to personnel meeting the qualifications under 493.1447, 493.1453, 493.1459, and 493.1487 respectively. (b) If the laboratory director reappoints performance of his or her responsibilities, he or she remains responsible for ensuring that all duties are properly performed.

This STANDARD is not met as evidenced by:  
Based on review of testing personnel (TP), Technical Supervisor (TS) and General Supervisor (GS) competency records, review of Microbiology Quality Control (QC) records and interview with the Laboratory Director (LD) and TP # 1, the LD failed to ensure that all laboratory personnel were qualified and competent to perform high complexity Microbiology patient testing. Findings include: 1. Record review of the Laboratory's form CMS-209 submitted during survey 3/14/2024 identified one individual as the TS / GS for the laboratory and two testing personnel (TP) performing high complexity Microbiology patient testing. TS / GS- unable to determine start date TP # 1 Start Date - May 2022 TP # 2 Start Date - Unable to determine during survey 2. The request for and lack of documentation of competency assessments for the TS / GS revealed no competency assessments were performed for the years 2022, 2023, 2024. 3. The request for and lack of documentation revealed that TP # 1 had not had a competency assessment performed in 2023 or 2024 to date of survey. 4. A review of training records revealed that TP # 2's initial training was performed by TP # 1. Review of TP # 1's training records revealed that TP # 1 was not qualified or delegated the authority to perform training for TP#2 in the specialty of Microbiology. 5. TP # 2's 6 month competency assessment was not performed, which was due 2 /2024. 6. Further review of form CMS-209 submitted at the time of survey, revealed that a qualified GS was not available on site to provide daily supervision and oversight of TP performing Microbiology testing after March, 2023. 7. The LD confirmed by interview on 3/15/2024, at 1:00 p.m. that she had not performed a competency assessment for the TS / GS in 2022, 2023, or 2024 to date of survey. 8. The TS / GS confirmed by interview on 03/18/2024 at 11:00 a.m. via video call that the TS / GS had not performed a competency assessment on TP # 1 for 2023 to date of the survey. 9. The TS / GS confirmed by interview on 03/18/2024 at 11:00 a.m. via video call that the TS / GS had not performed any training or competency assessment for TP # 2 in 2023 to date of survey.

**D6087**

**LABORATORY DIRECTOR RESPONSIBILITIES**  
CFR(s): 493.1445(e)(3)(iii)

The laboratory director must ensure that laboratory personnel are performing the test methods as required for accurate and reliable results.

This STANDARD is not met as evidenced by:

Based on review of Microbiology testing personnel (TP) competency records, Quality Control (QC) records and interview with testing personnel (TP), the Laboratory Director (LD) failed to ensure that TP were performing patient testing in accordance with CLIA regulations and the laboratory's policies and procedures. See D5471 and D6079

**D6092**

**LABORATORY DIRECTOR RESPONSIBILITIES**

CFR(s): 493.1445(e)(4)(iv)

The laboratory director must ensure an approved corrective action plan is followed when any proficiency testing result is found to be unacceptable or unsatisfactory.

This STANDARD is not met as evidenced by:

Based on review of Proficiency testing (PT) results from the College of American Pathologists (CAP) for 2022 and 2023 and interview with the Laboratory Director (LD) and testing personnel (TP), the LD failed to ensure corrective action (CA) was performed for any PT results found to be unacceptable. Findings include: 1. Record review of CAP PT records for 2022, 2023 and 2024 to date, revealed that three (3) events had unacceptable responses. They are as follows: 2022 Event #3 Missed organism identification. There was no evidence of CA on record during survey. 2023 Event #2 Missed Gram stain - There was no evidence of CA on record during survey. 2023 Event #3 Missed organism identification. There was no evidence of CA on record during survey. 2. Request for and lack of documentation revealed that the laboratory had no approved policy or procedure for performing CA for missed PT. 3. TP #1 confirmed during interview on 3/14/2024 at 1:00 p.m. that he did not have any documentation of CA for the three (3) missed PT events in 2022 and 2023. TP# 1 also stated he "does not do Gram stains" when asked about the missed PT result 2023, event # 2. 4. The LD confirmed during the interview on 3/15/2024 at 1:00 p.m. that she had not reviewed the three (3) missed CAP PT events with the Technical Supervisor (TS), TP # 1 or TP # 2. 5. The Laboratory reports performing 2289 cultures annually.

**D6094**

**LABORATORY DIRECTOR RESPONSIBILITIES**

CFR(s): 493.1445(e)(5)

The laboratory director must ensure that the quality assessment programs are established and maintained to assure the quality of laboratory services provided and to identify failures in quality as they occur.

This STANDARD is not met as evidenced by:

Based on review of the laboratory's Quality Assurance Plan (QA), interview with the Laboratory Director (LD) and testing personnel (TP), and review of QA documentation, the LD failed to ensure that an approved QA plan was being followed by all laboratory personnel. Findings include: 1. Review of the laboratory's QA policy /procedure, revealed that a QA review per laboratory policy is "to be performed twice a year or as needed". 2. Record review of the QA Review form dated and signed by

TP # 1 on 3/12/2024, revealed that randomly selected answers to the questions on the QA Review form did not match the real time answers when reviewed on site 3/14 /2024. a. The QA Procedure Manual section regarding the signature of the LD on the procedure manual in the current year, TP # 1 answered yes. The last signature by the LD in the procedure manual was June 2021. b. The QA Record Keeping section states that "3 charts will be pulled and reviewed to confirm that the written test results match what is on the patient chart". There was no evidence to demonstrate that the above statment was in practice. Interview with TP # 1 confirmed there was no evidence of chart review for this QA Review. TP # 1 stated he "did not understand the question, so he just answered YES". c. The QA Personnel section asks if there are current competency assessments for all TP for the current year. TP # 1 answered yes. There were no competency assessment records for TP # 1 after 6/26/ 2022, there were no initial training records for TP # 2 by a CLIA qualified Technical Supervisor (TS), nor a 6 month competency assessment for TP # 2, which was due 2/2024 and no records of competency assessment for the TS or the General Supervisor (GS) for 2022, 2023 or 2024 year to date. 3. The LD confirmed during interview 3/15/2024 at 1:00 pm that no QA activities had been conducted or reviewed with staff since 12/15/2022. 4. See D6079 and D6103

**D6100**

**LABORATORY DIRECTOR RESPONSIBILITIES**  
CFR(s): 493.1445(e)(10)

The laboratory director must ensure that a general supervisor provides on-site supervision of high complexity test performance by testing personnel qualified under 493.1489(b)(4).

This STANDARD is not met as evidenced by:  
Based on review of form CMS-209 submitted during survey 3/14 - 3/15/2024 and interview with the Technical Supervisor (TS) and testing personnel (TP), the Laboratory Director (LD) failed to ensure a qualified General Supervisor (GS) was on site to provide technical oversight and supervision of TP performing high complexity Microbiology testing. Findings include: 1. A review of form CMS-209 revealed that a qualified GS was not on site during microbiology testing performed by TP # 1 and TP # 2, who do not qualify as a TS or GS or as TP to perform high complexity microbiology testing unsupervised. 2. TP # 1 confirmed during the interview on 3/14 /2024 at 11:00 a.m. that no GS was on site while TP # 1 worked at the facility after March 2023. 3. The TS / GS confirmed during the exit meeting on 3/18/2024 at 10:30 a.m. that no CLIA qualified GS was not on-site during Microbiology testing after March 2023. 4. The facility reports performing 2289 cultures annually.

**D6103**

**LABORATORY DIRECTOR RESPONSIBILITIES**  
CFR(s): 493.1445(e)(13)

The laboratory director must ensure that policies and procedures are established for monitoring individuals who conduct preanalytical, analytical, and postanalytical phases of testing to assure that they are competent and maintain their competency to process specimens, perform test procedures and report test results promptly and proficiently, and whenever necessary, identify needs for remedial training or continuing education to improve skills.

This STANDARD is not met as evidenced by:

	<p>Based on review of the laboratory's policies and procedures, patient record review, testing personnel (TP) training and competency records, Technical Supervisor (TS) and General Supervisor (GS) competency records, proficiency testing (PT) records, and the laboratory's Quality Control (QC) records, the Laboratory Director (LD) failed to ensure all individuals were annually assessed to demonstrate competency and ensure remedial training was provided. See D6079, D6094.</p>
<p><b>D6108</b></p>	<p><b>LABORATORY TECHNICAL SUPERVISOR</b> CFR(s): 493.1447</p> <p>The laboratory must have a technical supervisor who meets the qualification requirements of 493.1449 of this subpart and provides technical supervision in accordance with 493.1451 of this subpart.</p> <p>This CONDITION is not met as evidenced by: Based on review of Microbiology testing records, interview with the Laboratory Director (LD) and testing personnel (TP # 1), the Technical Supervisor (TS) failed to fulfill the duties of a TS. See D6118, D6120.</p>
<p><b>D6118</b></p>	<p><b>TECHNICAL SUPERVISOR RESPONSIBILITIES</b> CFR(s): 493.1451(b)(5)</p> <p>The technical supervisor is responsible for resolving technical problems and ensuring that remedial actions are taken whenever test systems deviate from the laboratory's established performance specifications.</p> <p>This STANDARD is not met as evidenced by: Based on review of Proficiency testing (PT) results, lack of corrective action (CA) for missed PT events, lack of Quality Control (QC) records for all Microbiology testing performed in this lab in the past year, lack of training and competency records for testing personnel (TP # 1 and TP # 2), inaccurate Quality Assessments (QA) records, and interview with the Laboratory Director (LD) and TP # 1, the Technical Supervisor (TS) failed to ensure that remedial CA was taken when the test systems deviated from the Laboratory's established performance specifications. Findings include: 1. The delegation of authority document for the TS lists the following TS responsibilities: a. Verify performance characteristics of the test systems; The TS failed to verify the performance of the MicorScan test systems, stock organisms, reagents and media. See D5471, D5477, D5507. b. Establish and monitor quality control and quality assurance programs appropriate for the testing systems in use; the TS failed to monitor QC Testing. See D5471, D5477, D5507, D6094. c. Evaluate the competency of personnel performing all aspects of testing; The TS failed to perform competency assessments for two of two testing personnel in 2023 and 2024. See D6079. d. Identify the training needs of testing personnel and ensure that appropriate training is received; the TS failed to perform initial or 6 month competency training for TP # 2 and assess TP # 1's competency by annual review for 2023 and 2024 to date. See D6079, D6092. 2. TP # 1 confirmed during interview on 3/14/2024 at 11:30 a.m. that no CA or remedial training had been provided for any of the missed PT events in 2022 and 2023 by the TS/GS. 3. The TS / GS confirmed the above findings above during an online video meeting on 3/15/2024 at 11:00 a.m. at the facility with the LD and myself in attendance. 4. The facility reports performing 2289 cultures annually.</p>

<p><b>D6120</b></p>	<p><b>TECHNICAL SUPERVISOR RESPONSIBILITIES</b>  CFR(s): 493.1451(b)(7)(8)</p> <p>(7) The technical supervisor is responsible for identifying training needs and assuring that each individual performing tests receives regular in-service training and education appropriate for the type and complexity of the laboratory services performed; (8) Evaluating the competency of all testing personnel and assuring that the staff maintain their competency to perform test procedures and report test results promptly, accurately and proficiently.</p> <p>This STANDARD is not met as evidenced by:  Based on review of testing personnel (TP) competency records and interview with the Technical Supervisor (TS) and TP # 1, the TS failed to ensure that competency assessments including initial training, 6 month competency assessment and 12 month competency assessments were conducted on all TP. Findings include: 1. Document review revealed that TP # 1 had not had competency assessment for 2023 or 2024 year to date. 2. Document review revealed that TP # 2 did not have record of initial training or 6 month competency assessment by a CLIA qualified TS. 3. Interview with TP # 1 on 3/14/2024 at 11:00 am confirmed that he had not had any competency assessment in Microbiology after 6/26/2022. 4. The TS / GS confirmed by interview on 03/18/2024 at 11:00 a.m. via video call that the TS / GS had not performed a competency assessment on TP # 1 for 2023 to 2024 year to date of survey. 5. The TS / GS confirmed by interview on 03/18/2024 at 11:00 a.m. via video call that the TS / GS had not performed any training or competency assessment for TP # 2. 6. The laboratory reports performing 1827 cultures between 3/13/2023 - 3/14/2024.</p>
<p><b>D6141</b></p>	<p><b>GENERAL SUPERVISOR</b>  CFR(s): 493.1459</p> <p>The laboratory must have one or more general supervisors who are qualified under 493.1461 of this subpart to provide general supervision in accordance with 493.1463 of this subpart.</p> <p>This CONDITION is not met as evidenced by:  Based on a review of form CMS -209 submitted during survey 3/14 - 3/15/2024 and interviews with the Technical Supervisor (TS), the Laboratory Director (LD), and testing personnel (TP), the Laboratory failed to ensure a General Supervisor (GS) was on site to ensure the supervision and oversight of TP performing high-complexity Microbiology testing. Findings include: 1. TP # 1 confirmed during interview on 3/15 /2024 at 11:00 a.m., that the GS is not on site when TP are performing all phases of Microbiology testing. 2. The TS / GS listed on form CMS-209 confirmed during the exit conference on 3/18/2024 at 10:30 a.m. that the TS / GS is not on site when Microbiology patient testing is being performed in this laboratory. 3. The laboratory reports performing 2289 cultures per year.</p>
<p><b>D6142</b></p>	<p><b>GENERAL SUPERVISOR QUALIFICATIONS</b>  CFR(s): 493.1461</p> <p>The laboratory must have one or more general supervisors who, under the direction of the laboratory director and supervision of the technical supervisor, provides day-to-day supervision of testing personnel and reporting of test results. In the absence of the</p>

director and technical supervisor, the general supervisor must be responsible for the proper performance of all laboratory procedures and reporting of test results.

This STANDARD is not met as evidenced by:

Based on review of testing personnel (TP) listed on form CMS-209 submitted during survey 3/14 - 3/15/2024, interview with the Technical Supervisor (TS), the Laboratory Director (LD) and testing personnel (TP), the laboratory failed to ensure a General Supervisor (GS) was on site to ensure the supervision and oversight of TP performing high complexity Microbiology testing. Findings include: 1. TP # 1 confirmed during interview on 3/14/2024 at 1230 pm that no GS was on site when he was performing Microbiology testing at this facility after March, 2023. 2. TP # 1 and TP # 2 do not qualify as GS, due to years of experience and performance. See D6175, D6177. 3. The TP listed on form CMS-209 as the TS / GS confirmed during the exit conference 3/18 /2024 at 10:30 am, that a CLIA qualified GS has not been on site since March, 2023. 4. The laboratory reports performing 2289 cultures per year.

**D6168**

**TESTING PERSONNEL**

CFR(s): 493.1487

The laboratory has a sufficient number of individuals who meet the qualification requirements of 493.1489 of this subpart to perform the functions specified in 493.1495 of this subpart for the volume and complexity of testing performed.

This CONDITION is not met as evidenced by:

Based on the review of Microbiology testing personnel (TP) educational and competency records, it was determined that TP # 1 and TP # 2 do not qualify to perform the duties of a high complexity Microbiologist without direct oversight and supervision by the Technical Supervisor (TS) or General Supervisor (GS). See D6175 and D6177.

**D6175**

**TESTING PERSONNEL RESPONSIBILITIES**

CFR(s): 493.1495(b)(1)

Each individual performing high complexity testing must follow the laboratory's procedures for specimen handling and processing, test analyses, reporting and maintaining records of patient test results.

This STANDARD is not met as evidenced by:

Based on record review and interview with testing personnel (TP), the laboratory failed to follow the laboratory's policies and procedures for high complexity microbiological testing. Findings include: 1. Record review revealed TP failed to follow established policies and procedures for performing Quality Control (QC) activities for Microbiology culture identification and antimicrobial sensitivities. See D5471, D5477, D5507. 2. Based on lack of documentation, TP failed to follow established policies and procedures for performing QC and/or recording of lot numbers and expiration dates for reagents, media, and corrective actions for unacceptable PT results. See D5471, D5477, D6092. 3. Review of TP # 1's educational documents and training records revealed TP # 1 does not qualify as a General Supervisor (GS) and cannot work in high complexity Microbiology testing unsupervised by a GS. See D6079. 4. Interview with the LD and TS / GS during exit

conference on 3/18/2024 at 11:00 am confirmed the lack of the above activities or records/documentation.

**D6177**

**TESTING PERSONNEL RESPONSIBILITIES**  
CFR(s): 493.1495(b)(3)

Each individual performing high complexity testing must adhere to the laboratory's quality control policies, document all quality control activities, instrument and procedural calibrations and maintenance performed.

This STANDARD is not met as evidenced by:  
Based on review of the laboratory's Quality Control (QC) procedures for performing and documenting QC, interview with testing personnel (TP # 1) and the Laboratory Director (LD), TP failed to follow and document the laboratory's QC activities for all culture media, all Micro Scan Identification and MIC plates, all stock cultures and all reagents used in Microbiology testing before reporting patient results. See D5471, D5477, D5507.