

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 44D0310262	(X3) Date Survey Completed 08/14/2019
Name of Provider or Supplier Children's Diagnostic Center	Street Address, City, State 7550 Goodwin Road, Chattanooga, TN	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5303	<p>TEST REQUEST CFR(s): 493.1241(b)</p> <p>The laboratory may accept oral requests for laboratory tests if it solicits a written or electronic authorization within 30 days of the oral request and maintains the authorization or documentation of its efforts to obtain the authorization.</p> <p>This STANDARD is not met as evidenced by: Based on a review for five of five patient records and an interview with the Technical Consultant (TC), the laboratory failed to obtain written or electronic orders of laboratory tests for three of five charts for a complete blood cell (CBC) test ordered within 30 days of testing during 2017-2019. Findings include: 1. A records review for 3 of 5 patient charts revealed no order or requisition for a CBC test on patient #1 (#170108, 10-13-2017), patient #4 (#168930, 9-14-18), and patient #5 (# 164086, 7-26-2019) that were reported during 2017-2019. 2. An interview on August 14, 2019, at 12:45pm, with the TC confirmed no written or electronic order for a CBCs analyzed for a patient #1 (#170108, 10-13-2017), patient #4 (#168930, 9-14-18), and patient #5 (# 164086, 7-26-2019) that were reported during 2017-2019.</p>
D6053	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(9)</p> <p>The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least semiannually during the first year the individual tests patient specimens.</p> <p>This STANDARD is not met as evidenced by: Based on a review of the Centers for Medicare Services personnel report (CMS 209), review of the laboratory's employee competency evaluations and upon interview with</p>

the technical consultant (TC), determined the Technical Consultant failed to perform and document a semi-annual competency evaluations for testing personnel #5 and #6 who began laboratory testing in August and April 2019, respectively. The findings include: 1. Review of the CMS 209 revealed 6 of 6 testing personnel reporting in Hematology for complete blood count (CBC) for 2017-2018 with two new testing personnel in April and August 2019. 2. A review of the laboratory's semi-annual competency evaluations for testing personnel #5 and #6 who began laboratory testing in August and April 2019, respectively, in Hematology for complete blood count (CBC) did not reveal the TC's signature/approvals. 3. An interview with the TC at approximately 12:30pm, at August 14, 2019, confirmed that semi-annual competency evaluations were missing the TC's signature/approvals for testing personnel #5 and #6 who began laboratory testing in August and April 2019, respectively, in Hematology for complete blood count (CBC).

D6054

TECHNICAL CONSULTANT RESPONSIBILITIES
CFR(s): 493.1413(b)(9)

The technical consultant is responsible for evaluating and documenting the performance of individuals responsible for moderate complexity testing at least annually, after the first year.

This STANDARD is not met as evidenced by:
Based on a review of the Centers for Medicare Services personnel report (CMS 209), review of the laboratory's employee competency evaluations and upon interview with the technical consultant (TC), determined the Technical Consultant failed to perform and document annual competency evaluations for testing personnel #1 (2018 missing), #2, #3, and #4 for 2017-2018. The findings include: 1. Review of the CMS 209 revealed 6 of 6 testing personnel reporting in Hematology for complete blood count (CBC) for 2017-2018. 2. A review of the laboratory's annual competency evaluations for testing personnel #1 (2018 missing), #2, #3, and #4 for 2017-2018 in Hematology for complete blood count (CBC) did not reveal the TC's signature /approvals. 3. An interview with the TC at approximately 12:30pm, at August 14, 2019, confirmed that annual competency evaluations were missing the TC's signature /approvals for testing personnel #1 (2018 missing), #2, #3, and #4 for 2017-2018 in Hematology for complete blood count (CBC) for 2017-2018.