

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 45D0484993	(X3) Date Survey Completed 04/10/2020
Name of Provider or Supplier Stephens Memorial Hospital	Street Address, City, State 200 South Geneva St, Breckenridge, TX	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D0000	The following deficiencies are a result of a desk review of proficiency testing scores obtained from the CMS (Centers for Medicare and Medicaid Services) national database and verified with the proficiency testing company, American Proficiency Institute (API). The facility was found to be out of compliance with the conditions of participation of the CLIA program. The following CONDITION LEVEL DEFICIENCIES were found to be out of compliance: 493.803 Successful participation in a proficiency testing program 493.1403 Laboratory Director, Moderate Complexity
D2016	<p>SUCCESSFUL PARTICIPATION CFR(s): 493.803(a)(b)(c)</p> <p>(a) Each laboratory performing nonwaived testing must successfully participate in a proficiency testing program approved by CMS, if applicable, as described in subpart I of this part for each specialty, subspecialty, and analyte or test in which the laboratory is certified under CLIA. (b) Except as specified in paragraph (c) of this section, if a laboratory fails to participate successfully in proficiency testing for a given specialty, subspecialty, analyte or test, as defined in this section, or fails to take remedial action when an individual fails gynecologic cytology, CMS imposes sanctions, as specified in subpart R of this part. (c) If a laboratory fails to perform successfully in a CMS-approved proficiency testing program, for the initial unsuccessful performance, CMS may direct the laboratory to undertake training of its personnel or to obtain technical assistance, or both, rather than imposing alternative or principle sanctions except when one or more of the following conditions exists: (1) There is immediate jeopardy to patient health and safety. (2) The laboratory fails to provide CMS or a CMS agent with satisfactory evidence that it has taken steps to correct the problem identified by the unsuccessful proficiency testing performance. (3) The laboratory has a poor compliance history.</p> <p>This CONDITION is not met as evidenced by:</p>

Based on a desk review of proficiency testing records, it was determined the laboratory had not successfully participated in a proficiency testing program approved by HHS, for each specialty, subspecialty, and analyte or test in which the laboratory is certified under CLIA. The laboratory did not successfully participate in the specialty of Routine Chemistry for the pH Blood Gas, PO2 Blood Gas, and PCO2 Blood Gas analytes. Refer to D2096.

D2087

ROUTINE CHEMISTRY
CFR(s): 493.841(a)

Failure to attain a score of at least 80 percent of acceptable responses for each analyte in each testing event is unsatisfactory analyte performance for the testing event.

This STANDARD is not met as evidenced by:

Based on a proficiency testing desk review of CMS form 0155 and American Proficiency Institute (API) records, the laboratory failed to attain a satisfactory score of at least 80% acceptable responses for each analyte in the subspecialty of Routine Chemistry for the pH Blood Gas, PO2 Blood Gas, and PCO2 Blood Gas analytes. Findings included: 1. Review of the CMS 0155 report revealed the following results: API 2019 - 2nd Event laboratory received an unsatisfactory score for: pH Blood Gas 40% PO2 Blood Gas 40 % PCO2 Blood Gas 20% API 2020 - 1st Event laboratory received an unsatisfactory score for: pH Blood Gas 60% PO2 Blood Gas 40 % PCO2 Blood Gas 40% 2. Review of the laboratory's API proficiency testing records revealed the following results: API 2019 - 2nd Event laboratory received an unsatisfactory score for: pH Blood Gas 40% PO2 Blood Gas 40 % PCO2 Blood Gas 20% API 2020 - 1st Event laboratory received an unsatisfactory score for: pH Blood Gas 60% PO2 Blood Gas 40 % PCO2 Blood Gas 40%

D2096

ROUTINE CHEMISTRY
CFR(s): 493.841(f)

Failure to achieve satisfactory performance for the same analyte or test in two consecutive testing events or two out of three consecutive testing events is unsuccessful performance.

This STANDARD is not met as evidenced by:

Based on a review of proficiency testing (PT) records from 2019 (2nd and 3rd Events) and 2020 (1st Event), it was revealed that the laboratory failed to achieve satisfactory performance (80% or greater) for the same analyte in two consecutive testing events or two out of three consecutive testing events in the specialty of Routine Chemistry for the pH Blood Gas, PO2 Blood Gas, and PCO2 Blood Gas analytes.. Two out of three unsatisfactory scores result in unsuccessful PT performance. Findings included: 1. Review of the CMS 0155 report revealed the following results: API 2019 - 2nd Event laboratory received an unsatisfactory score for: pH Blood Gas 40% PO2 Blood Gas 40 % PCO2 Blood Gas 20% API 2020 - 1st Event laboratory received an unsatisfactory score for: pH Blood Gas 60% PO2 Blood Gas 40 % PCO2 Blood Gas 40% 2. Review of the laboratory's API proficiency testing records revealed the following results: API 2019 - 2nd Event laboratory received an unsatisfactory score for: pH Blood Gas 40% PO2 Blood Gas 40 % PCO2 Blood Gas 20% API 2020 - 1st Event laboratory received an unsatisfactory score for: pH Blood Gas 60% PO2 Blood Gas 40 % PCO2 Blood Gas 40%

D6000**MODERATE COMPLEXITY LABORATORY DIRECTOR**
CFR(s): 493.1403

The laboratory must have a director who meets the qualification requirements of 493.1405 of this subpart and provides overall management and direction in accordance with 493.1407 of this subpart.

This CONDITION is not met as evidenced by:

Based on a desk review of laboratory proficiency testing performance it was revealed that the laboratory director failed to provide overall management and direction of the laboratory services. Refer to D6016.

D6016**LABORATORY DIRECTOR RESPONSIBILITIES**
CFR(s): 493.1407(e)(4)(i)

The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(4)(i) Ensure that the proficiency testing samples are tested as required under Subpart H of this part;

This STANDARD is not met as evidenced by:

Based on a desk review of proficiency testing results it was revealed that the laboratory director failed to ensure the overall quality of the laboratory services provided. The laboratory director failed to ensure successful participation in a HHS approved proficiency testing program. Refer to D2096.