

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 45D0660728	(X3) Date Survey Completed 03/27/2018
Name of Provider or Supplier City Of Lubbock Health Dept Laboratory	Street Address, City, State 2015 50th Street, Lubbock, TX	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5215	<p>EVALUATION OF PROFICIENCY TESTING PERFORMANCE CFR(s): 493.1236(b)(2)</p> <p>The laboratory must verify the accuracy of any analyte, specialty or subspecialty assigned a proficiency testing score that does not reflect laboratory test performance (that is, when the proficiency testing program does not obtain the agreement required for scoring as specified in subpart I of this part, or the laboratory receives a zero score for nonparticipation, or late return or results).</p> <p>This STANDARD is not met as evidenced by: Based on review of Proficiency Testing records and interview with facility personnel, the laboratory failed to evaluate proficiency testing results that were not scored by the proficiency testing agency in 3 of 3 Bacteriology testing events for 2017. Findings included: 1. Review of the College of American Pathologists (CAP) Proficiency Testing results for 2016 and 2017 found no documentation of review of proficiency results when an artificial score of 100% was given with exception codes reported in three of three testing events for 2017. a. D5-A 2017 Gram Stain The laboratory was given a score of 100% for Morphology on specimen D5-09 and results were not evaluated by the laboratory when the exception code [27] was reported by the proficiency testing agency. Exception Code 27 = Lack of participant or referee consensus. The Laboratory was given a score of 100% for Leukocytes. Five of Five results were not evaluated by the laboratory when the exception code [26] was reported by the proficiency testing Agency. Exception Code [26] = Educational Challenge. b. D5-B 2017 Gram Stain The laboratory was given a score of 100% for Morphology on specimen D5-05 and results were not evaluated by the laboratory when the exception code [27] was reported by the proficiency testing agency. Exception Code 27 = Lack of participant or referee consensus. The Laboratory was given a score of 100% for Leukocytes. Five of Five results were not evaluated by the laboratory when the exception code [26] was reported by the proficiency testing Agency. Exception Code [26] = Educational Challenge. c. D5-C 2017 Gram Stain The</p>

Laboratory was given a score of 100% for Leukocytes. Five of Five results were not evaluated by the laboratory when the exception code [26] was reported by the proficiency testing Agency. Exception Code [26] = Educational Challenge. 2. Review of the CAP Actions Laboratories should take when a PT result is not graded found "The College uses Exception Reason Codes that signify the proficiency testing (PT) for an analyte that has not been graded. The Exception reason Code is located on the evaluation report in brackets to the right of the result. Your laboratory must identify all of the analytes with an Exception Reason Code and investigate the acceptability of performance with the same rigor as if it were an unacceptable performance. " 3. Interview of Technical Supervisor 2 listed on the CMS Report 209 conducted on March 27, 2018 at 10:45 Am confirmed that the laboratory did not review results with Exception codes.

D6091

LABORATORY DIRECTOR RESPONSIBILITIES

CFR(s): 493.1445(e)(4)(iii)

The laboratory director must ensure all proficiency testing reports received are reviewed by the appropriate staff to evaluate the laboratory's performance and to identify any problems that require corrective action.

This STANDARD is not met as evidenced by:

Based on review of proficiency testing (PT) records and confirmed in interview, the Laboratory Director failed to ensure that all proficiency testing reports were reviewed to evaluate performance. (See D5215)